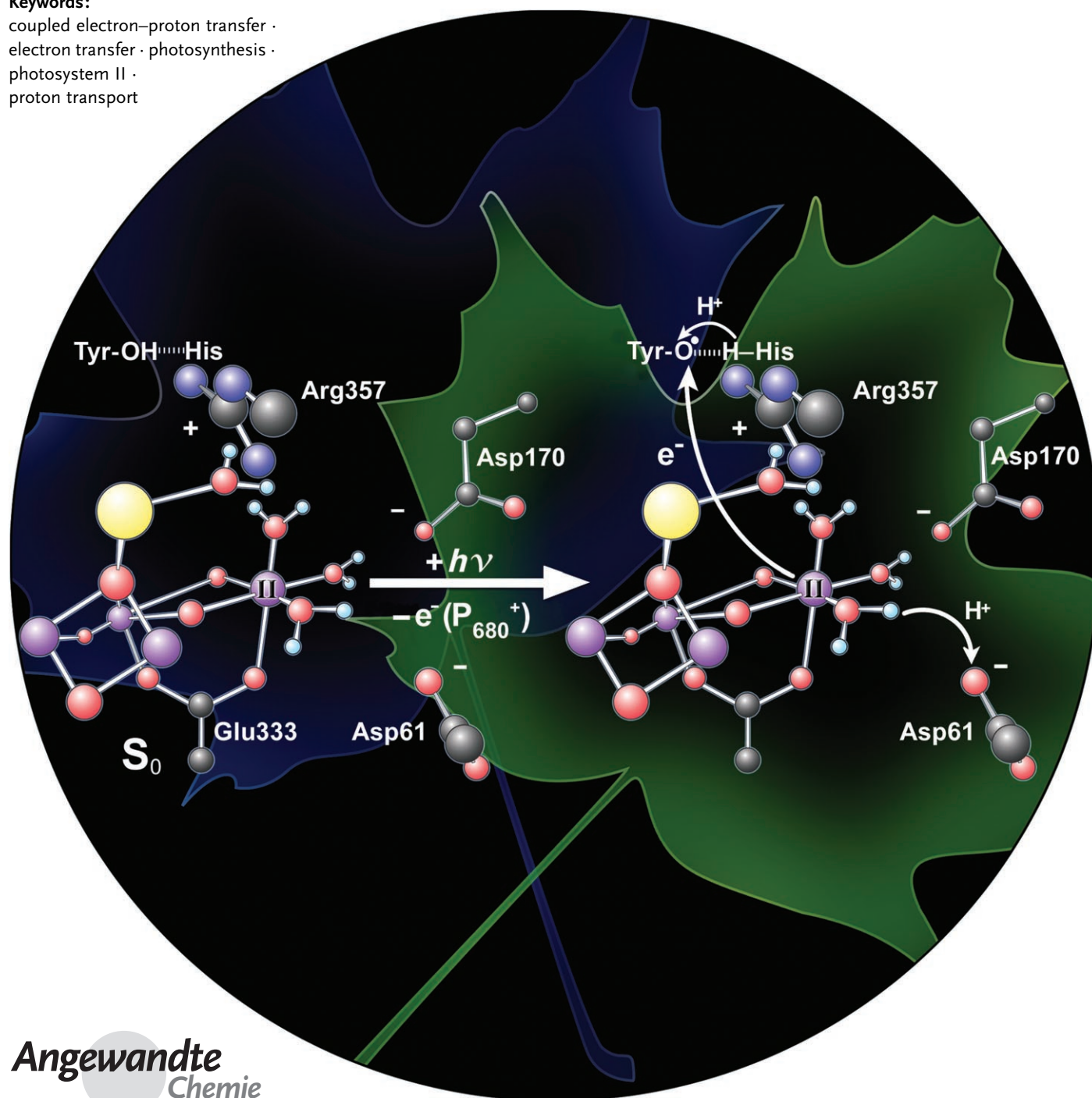


# The Possible Role of Proton-Coupled Electron Transfer (PCET) in Water Oxidation by Photosystem II

Thomas J. Meyer,\* My Hang V. Huynh, and H. Holden Thorp

**Keywords:**

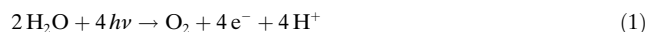
coupled electron–proton transfer ·  
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proton transport



All higher life forms use oxygen and respiration as their primary energy source. The oxygen comes from water by solar-energy conversion in photosynthetic membranes. In green plants, light absorption in photosystem II (PSII) drives electron-transfer activation of the oxygen-evolving complex (OEC). The mechanism of water oxidation by the OEC has long been a subject of great interest to biologists and chemists. With the availability of new molecular-level protein structures from X-ray crystallography and EXAFS, as well as the accumulated results from numerous experiments and theoretical studies, it is possible to suggest how water may be oxidized at the OEC. An integrated sequence of light-driven reactions that exploit coupled electron–proton transfer (EPT) could be the key to water oxidation. When these reactions are combined with long-range proton transfer (by sequential local proton transfers), it may be possible to view the OEC as an intricate structure that is “wired for protons”.

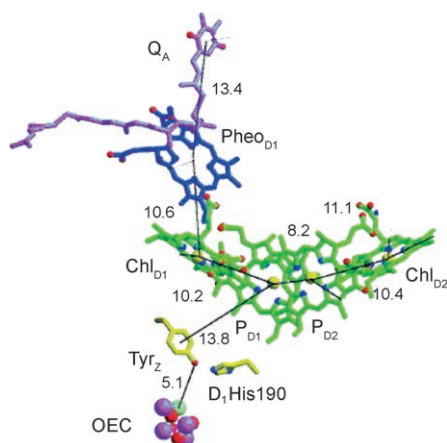
## 1. Introduction

Photosystem II (PSII) is a multi-polypeptide complex found in thylakoid membranes of chloroplasts in plants and algae. It uses water as the electron donor in the photochemical oxidation of water to dioxygen as shown in Equation (1). In green plants, the electrons created in this



reaction reduce a benzoquinone to hydroquinone and transfer reductive equivalents to photosystem I, where they enter the Calvin cycle for reduction of  $\text{CO}_2$ .<sup>[1–15]</sup>

Recent advances, especially the results of X-ray structures of PSII from cyanobacterium *Thermosynechococcus elongates*



**Figure 1.** Molecular structure of the reaction center of photosystem II from cyanobacterium *Thermosynechococcus elongates* illustrating the  $\text{Tyr}_Z$ - $\text{Chl}_{\text{D1}}$  ( $\text{P}_{680}$ )- $\text{Pheo}_{\text{D1}}$ - $\text{Q}_A$  donor–chromophore–acceptor (D–C–A) array and the proximity of  $\text{Tyr}_Z$  to the oxygen-evolving complex (OEC); blue: pheophytin, dark green: chlorophyll, yellow:  $\text{Y}_Z$ , light green: Ca, purple: Mn. Reproduced from reference [16].

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gates at 3-Å and 3.5-Å resolution, have given insight at the molecular level into how  $\text{O}_2$  is formed in PSII.<sup>[16–23]</sup>

It is known that oxygen is evolved after the sequential absorption of four photons (Kok cycle).<sup>[24]</sup> The recent structures reinforce earlier suggestions that each cycle is initiated by sensitization of chlorophyll  $\text{P}_{680}$  ( $\text{Chl}_{\text{D1}}$  in Figure 1), followed by rapid electron transfer through intervening pheophytin  $\text{D}_1$  ( $\text{Pheo}_{\text{D1}}$ ) to benzoquinone acceptor  $\text{Q}_A$ .

The oxidized chlorophyll,  $\text{P}_{680}^+$ , subsequently oxidizes tyrosine  $\text{Tyr}_Z$  by long-range (ca. 10 Å) electron transfer to give  $\text{Tyr}_Z^+$ . As discussed in Section 6, electron transfer appears to be coupled with proton transfer to  $\text{D1Histidine 190}$ . Thus, the light-driven sequence leads to the net reaction in Equation (2) with  $\text{Y}_Z = \text{Tyr}_Z\text{-OH}\cdots\text{His190}$  and  $\text{Y}_Z^+ = \text{Tyr}_Z\text{-O}\cdots^+\text{H-His190}$ .



Water oxidation occurs at the oxygen-evolving complex (OEC) after it is oxidatively activated by  $\text{Y}_Z^+$ .<sup>[1–3,16,19,20,25–39]</sup> The  $\text{O}_2/\text{H}_2\text{O}$  half reaction poses a major mechanistic chal-

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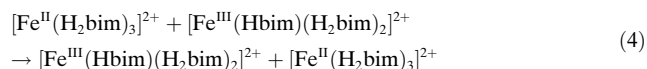
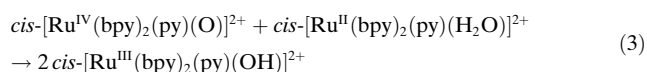
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lence: it requires the loss of  $4e^-$  and  $4H^+$  in combination with O...O coupling.

In the Kok cycle, it is proposed that each of four sequential photons absorbed in PSII results in the loss of an electron, ultimately with the evolution of  $O_2$ .<sup>[24]</sup> Recent advances in experiment and theory enable insight into how this coupling may occur. The goal of this Review is to suggest a possible mechanism for water oxidation in which electron–proton coupling and proton transfer play central roles at three of the four stages of the Kok cycle. In view of the lack of definitive, high-resolution structural information, this mechanism is necessarily speculative. Its evolution has greatly benefited from the results of earlier analyses. The importance of proton involvement<sup>[40,41]</sup> and of the coupling of electrons and protons were developed as themes in earlier publications.<sup>[1,2,4,7,42]</sup> Also, mechanisms for water oxidation at the OEC have been proposed by a number of groups over a period of many years.<sup>[7,9,21,28,29,32,42–52]</sup>

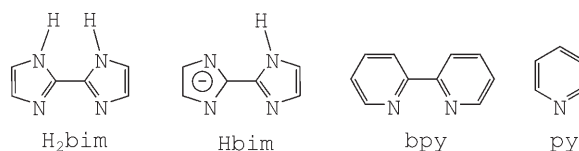
## 2. Reaction Pathways for Coupled Electron–Proton Transfer

Comparisons of reaction rates, isotope effect measurements, and theory have led to the suggestion that the reactions in Equations (3),<sup>[53,54]</sup> (4),<sup>[55,56]</sup> and 5<sup>[57]</sup> all occur by redox (net

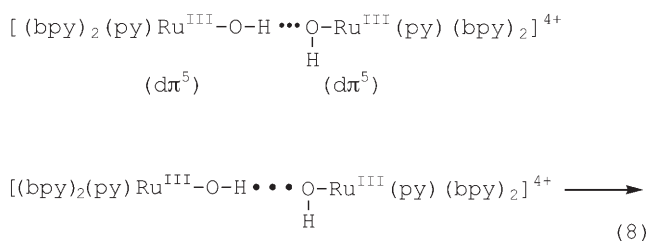
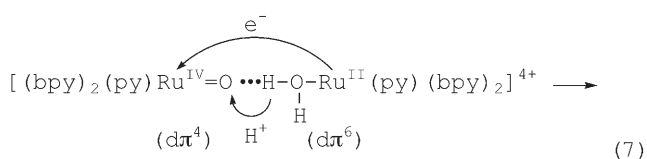
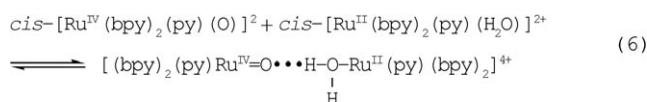


$e^-$  transfer) pathways that involve the simultaneous transfer of electrons and protons. They can be described as electron–proton transfer or EPT pathways.

In this context, EPT is defined as a redox pathway or elementary step in which electrons and protons are transferred from different orbital sites on a donor to different orbital sites on an acceptor. Simultaneous or concerted means rapid relative to timescales for coupled vibrations (ca. 10 fs) and collective solvent modes (0.2–20 ps).



As shown in Equations (6)–(8) for the comproportionation reaction in Equation (3), these reactions are predicted to



occur through preliminary H-bond formation because of the short-range nature of proton transfer.<sup>[58–68]</sup> In this example, electron transfer occurs between  $d\pi$  orbitals ( $t_{2g}$  in  $O_h$  symmetry) largely centered at  $Ru^{II}$  and  $Ru^{IV}$ , and proton transfer occurs from a  $\sigma(O-H)$  orbital to an acceptor lone pair on the oxido group.

The EPT terminology is necessary to distinguish this pathway or elementary step from: 1) the class of net reactions, such as that in Equation (3), in which there is a change in proton content between reactants and products. This class of reactions has come to be called proton-coupled electron



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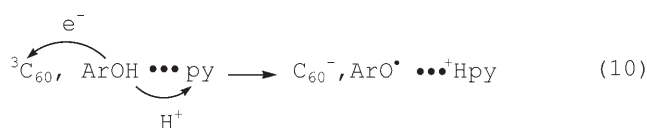
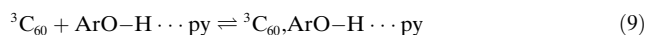


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transfer (PCET); 2) mechanisms that occur by sequential electron transfer (ET) with subsequent proton transfer (PT) or vice versa; and 3) pathways in which electrons and protons come from the same chemical bond, such as in H-atom transfer (HAT)<sup>[69–77]</sup> or hydride transfer.<sup>[78–82]</sup>

There are also hybrid pathways in which one partner undergoes EPT and the other HAT or hydride transfer.<sup>[83]</sup> An example is the oxidation of formate anion by *cis*-[Ru<sup>IV</sup>-(bpy)<sub>2</sub>(py)(O)]<sup>2+</sup> (*cis*-[Ru<sup>IV</sup>(bpy)<sub>2</sub>(py)(O)]<sup>2+</sup> + HCO<sub>2</sub><sup>−</sup> → *cis*-[Ru<sup>II</sup>(bpy)<sub>2</sub>(py)(OH)]<sup>+</sup> + CO<sub>2</sub>), in which hydride transfer occurs from HCO<sub>2</sub><sup>−</sup> to Ru<sup>IV</sup>=O.<sup>[78]</sup>

As illustrated by reduction of the triplet excited state of C<sub>60</sub> (<sup>3</sup>C<sub>60</sub>) by phenols with added N bases [Eqs. (9)–(11) with



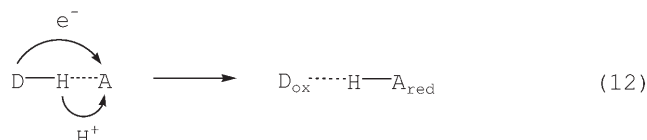
pyridine (py) as the base], there is a second class of EPT pathways in which different sites play the role of electron (<sup>3</sup>C<sub>60</sub>) and proton (py) donor or acceptor.<sup>[84–86]</sup> Because more than one site is involved, such pathways can be described as multiple-site electron–proton transfer (MS-EPT), in which: 1) an electron–proton donor transfers electrons and protons to different acceptors or 2) an electron–proton acceptor accepts electrons and protons from different donors.<sup>[83]</sup>

As discussed in the next section, MS-EPT pathways, and EPT in general, are more complex than ET or PT. MS-EPT competes with sequential ET-PT or PT-ET mechanisms by avoiding high-energy intermediates. As an example, oxidation of tyrosine (Tyr-OH) as the phenol by ET in the first step of an ET-PT mechanism (<sup>3</sup>C<sub>60</sub> + ArOH → C<sub>60</sub><sup>·−</sup> + ArOH<sup>·+</sup>) is unfavorable because of the high potential of the Tyr-OH<sup>·+</sup>/Tyr-OH couple (*E*<sup>o</sup>(Tyr-OH<sup>·+</sup>/Tyr-OH) = 1.34 V vs NHE). By comparison, MS-EPT with pyridine as the proton acceptor (<sup>3</sup>C<sub>60</sub> + ArOH + py → C<sub>60</sub><sup>·−</sup> + ArO<sup>·</sup> + <sup>+</sup>Hpy) is more favorable by around 0.51 eV. Similarly, in a PT-ET mechanism, Δ*G*<sup>o</sup> = +

0.30 eV for initial PT (Tyr-OH + py → Tyr-O<sup>−</sup> + Hpy<sup>+</sup>). In general, all three mechanisms may be operative and can be distinguished by kinetic measurements.

### 3. Theory of Coupled Electron–Proton Transfer

The theory of EPT for the generalized elementary step in Equation (12), in which D<sub>ox</sub> is the oxidized form of the donor



and A<sub>red</sub> the reduced form of the acceptor, has been developed in a series of papers by Cukier,<sup>[87–93]</sup> and Hammes-Schiffer and their co-workers,<sup>[56,94–107]</sup> as well as by others.<sup>[108–110]</sup> The theory developed by Hammes-Schiffer has proven to be especially useful in understanding relative rate constants, solvent effects, kinetic isotope effects, and other parameters. Electron-transfer theory provides a starting point, and proton transfer is included as a quantized, coupled high- or medium-frequency vibrational mode *ν*(E-H). In the treatment by Hammes-Schiffer and co-workers, strong electronic coupling between initial and final *ν*(E-H) vibrational states gives rise to a new set of adiabatic, proton-coupled states.

Electronic coupling is treated as in electron-transfer theory as an electrostatic perturbation that leads to donor–acceptor electronic wave function mixing. The resulting resonance energy, the electron-transfer matrix element *V*<sub>ET</sub>, is given by Equation (13) in which *ψ*<sub>A</sub> and *ψ*<sub>D</sub> are the electronic wave functions for the acceptor and donor orbitals, respectively, and *H* is the operator mixing the states.

$$V_{\text{ET}} = \langle \psi_{\text{A}} | \mathbf{H} | \psi_{\text{D}} \rangle \quad (13)$$

Application of time-dependent perturbation theory gives the expression for the EPT rate constant *k*<sub>EPT</sub> in Equation (14). The summations are over a series of vibrational

$$k_{\text{EPT}} = \frac{2\pi}{\hbar \sqrt{4\pi\lambda_{\mu\nu} k_{\text{B}} T}} \sum_{\mu} P_{I\mu} \sum_{\nu} |V_{\mu\nu}|^2 \exp\left(-\frac{(\Delta G_{\mu\nu} + \lambda)^2}{4\lambda_{\mu\nu} k_{\text{B}} T}\right) \quad (14)$$

channels from initial, coupled D–H⋯A vibrational levels *μ* to final D⋯H–A levels *ν*. The vibrational quantum spacing is *ħω*. *P*<sub>*Iν*</sub> is the Boltzmann population in vibrational level *μ* in the initial state, D–H⋯A. *λ*<sub>*μν*</sub> is the classical reorganization energy for the *μ* → *ν* vibrational channel arising from the solvent and low-frequency modes. *V*<sub>*μν*</sub> is the EPT matrix element for the channel *μ* → *ν*, and Δ*G*<sub>*μν*</sub> is the free-energy change for this channel. It is related to Δ*G*<sub>EPT</sub>, the overall free energy change for the EPT reaction, as shown in Equation (15). In Equations (14) and (15), *λ*<sub>*μν*</sub> is the classical reorganization energy for the *μ* → *ν* vibrational channel arising from the solvent and low-frequency modes.

$$\Delta G_{\mu\nu} = \Delta G_{\text{EPT}} + (\mu - \nu)\hbar\omega \quad (15)$$



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tion (14), the classical barrier crossing term  $\exp(-(\Delta G_{\mu\nu} + \lambda)^2 / 4\lambda_{\mu\nu} k_B T)$  gives the population of molecules at temperature  $T$  at the classical barrier crossing for the solvent and for all coupled modes for the  $\mu \rightarrow \nu$  channel except  $\nu(\text{E-H})$ . The summation over  $\nu$  typically involves a limited number of levels, those for which the barrier crossing term is minimized.

If the Condon approximation separating nuclear and electronic motion is valid,  $V_{\mu\nu}$  is given by the product of a vibrational overlap integral and the electron-transfer matrix element [Eq. (16)]. If this approximation is not valid, the

$$V_{\mu\nu} \approx V_{\text{ET}} \langle \phi_{\mu}^{\text{I}} | \phi_{\nu}^{\text{II}} \rangle \quad (16)$$

coordinates of the exchanging electron and proton are mixed. In Equation (16),  $\phi_{\mu}^{\text{I}}$  and  $\phi_{\nu}^{\text{II}}$  are the proton  $\nu(\text{E-H})$  vibrational wave functions for the adiabatic, mixed initial and final proton states, respectively. The square of the vibrational overlap integral  $\langle \phi_{\mu}^{\text{I}} | \phi_{\nu}^{\text{II}} \rangle^2$  gives a quantitative measure of the extent to which the reactants and products coexist spatially along the proton-transfer coordinate. A transition between vibrational levels is referred to as nuclear tunneling in the physics literature. It is a quantum effect arising from the probabilistic uncertainty in spatial coordinates for particles at the atomic level.

Changes in proton equilibrium displacement in either proton transfer or EPT are large relative to, for example, changes in displacement for coupled vibrations in electron transfer or excited-state decay. This leads to small vibrational overlaps with  $\langle \phi_{\mu}^{\text{I}} | \phi_{\nu}^{\text{II}} \rangle \ll 1$ . In this limit, barrier-crossing dynamics for an individual vibrational channel are dictated by the product  $V_{\text{ET}} \langle \phi_{\mu}^{\text{I}} | \phi_{\nu}^{\text{II}} \rangle$ . Even if  $V_{\text{ET}}$  is large, the product is small, and the preexponential terms for EPT through channel  $\mu \rightarrow \nu$  is given by Equation (17).

$$\nu_{\text{EPT},\mu\nu} = \frac{2\pi}{\hbar \sqrt{4\pi\lambda_{\mu\nu} k_B T}} V_{\text{ET}}^2 \langle \phi_{\mu}^{\text{I}} | \phi_{\nu}^{\text{II}} \rangle^2 \quad (17)$$

From the expression for the de Broglie wavelength  $\lambda = h/(2mE)^{1/2}$  and the masses of the electron and proton, the proton wavelength at a fixed energy is less extended than the electron wavelength by approximately 40 for the proton and by approximately 60 for the deuteron. Because of this, vibrational wave functions decrease far more rapidly with distance than electronic wave functions. The distances required for significant vibrational wave function overlap are much smaller than those for electronic wave function overlap. Even small changes in proton-transfer distance can lead to large changes in  $\langle \phi_{\mu}^{\text{I}} | \phi_{\nu}^{\text{II}} \rangle$ , and they can impact  $k_{\text{EPT}}$  significantly. It follows that the distance dependence of EPT is dominated by proton transfer because of its short-range nature. This includes PSII, for which proton transfer and EPT are key elements for catalytic water oxidation.

Because of the short-range nature of EPT and proton transfer, structural features (such as prior H-bond formation) that minimize proton-transfer distances and maximize vibrational overlap are required. Of necessity, long-range proton transfers occur by a series of discrete, quantized local proton-transfer steps driven by free energy or concentration gradients. Meeting the demands for EPT and sequential proton

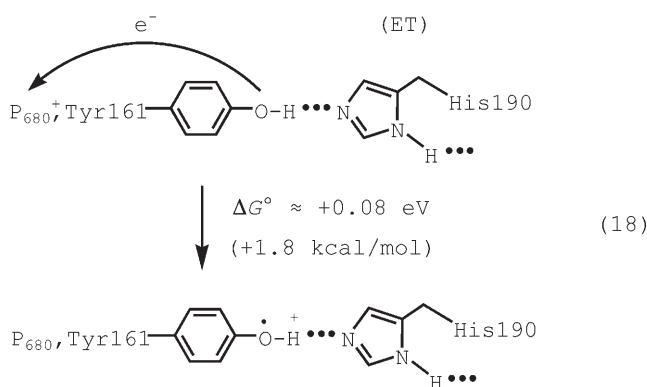
transfers over a distance imposes significant local structural requirements. The intricate way in which these requirements appear to be met in PSII for EPT and sequential proton transfer introduces the concept of "proton wiring".

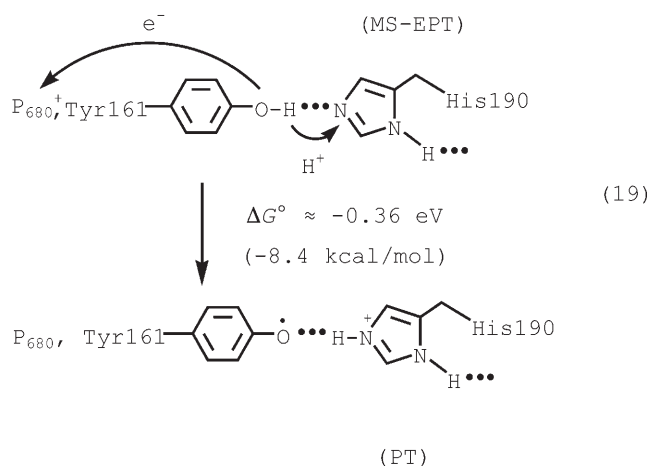
#### 4. PCET and EPT in Photosystem II: Thermodynamics

MS-EPT and EPT pathways are more demanding than individual PT or ET pathways because of the combined orbital requirements for simultaneous proton and electron transfer to occur. As noted in Section 3, EPT competes by avoiding high-energy intermediates, which greatly decrease rates when they appear as part of a redox mechanism.

These considerations are directly relevant to water oxidation in PSII. The individual steps in the Kok cycle, and activation of the OEC toward water oxidation, are initiated by  $\text{P}_{680}^{+}$  oxidation of tyrosine  $\text{Y}_Z$  by long-range (ca. 10 Å) electron transfer to give  $\text{Y}_Z^{\bullet}$  (Figure 1 and Equation (2)). In a recently revised estimate, the redox potential for the  $\text{P}_{680}^{+/0}$  redox couple was given as  $E^{\circ}(\text{P}_{680}^{+/0}) = 1.26 \text{ V}$  (vs NHE).<sup>[111]</sup> With this value, as well as the values  $E^{\circ}(\text{Tyr-OH}^{+/0}) = 1.34 \text{ V}$  vs NHE,  $\text{p}K_{\text{a}}(\text{Tyr-OH}^{+}) = -2$ ,  $\text{p}K_{\text{a}}(\text{Tyr-OH}) = 10$ , and  $\text{p}K_{\text{a}}(^+\text{H-His}) = 5.5$ , the driving forces for electron transfer (ET), electron-proton transfer (MS-EPT), and proton transfer (PT) at Tyr161 are compared in Equations (18)–(20). The change in  $\Delta G$  for MS-EPT was calculated from  $\Delta G_{\text{EPT}}^{\circ}(\text{eV}, 25^{\circ}\text{C}) = -(F(E^{\circ}(\text{P}_{680}^{+/0}) - E^{\circ}(\text{Tyr-OH}^{+/0}))) - 0.059(\text{p}K_{\text{a}}(^+\text{H-His}) - \text{p}K_{\text{a}}(\text{Tyr-OH}^{+}))$ , and that for PT from  $\Delta G_{\text{PT}}^{\circ} = -0.059(\text{p}K_{\text{a}}(^+\text{H-His}) - \text{p}K_{\text{a}}(\text{Tyr-OH}))$ .<sup>[111–116]</sup>  $F$  is the Faraday constant, which is 1 eV/V in SI units.

The calculated values in Equations (18)–(20) do not include the difference in  $\Delta G$  for forming the initial and final H-bonded adducts, which for MS-EPT are  $\text{Tyr-O} \cdots \text{H} \cdots \text{His190}$  and  $\text{Tyr-O} \cdots ^+\text{His190}$ . They are also solution values and only an approximation to the actual values in the photosynthetic membrane. However, they do illustrate the sometimes considerable energetic advantages that can exist for a mechanism involving EPT relative to initial ET or PT. As noted by Babcock and co-workers<sup>[1,2,4,7,26,27,39]</sup> and by Krishalik,<sup>[117–119]</sup> this advantage may be essential in PSII to ensure rapid oxidation of  $\text{Tyr}_Z$ . Oxidation of  $\text{Y}_Z$  is in competition with back electron transfer between  $\text{Q}_A^{-}$  and  $\text{P}_{680}^{+}$ , which occurs within around 200  $\mu\text{s}$  at room temperature.<sup>[120,121]</sup>





Experimental estimates place the potential for the  $Y_Z/Y_Z$  couple between 1.1 and 1.2 V. This value is considerably less than  $E^\circ$  for the  $\text{Tyr-OH}^+/\text{Tyr-OH}$  couple. The smaller value is consistent with formulating the  $Y_Z/Y_Z$  couple as  $\text{Tyr-O}^+\cdots\text{H-His190}/\text{Tyr-O-H}\cdots\text{His190}$  rather than  $\text{Tyr-OH}^+/\text{Tyr-OH}$ . With this interpretation, there is an increase in potential for the  $\text{Tyr-O}^+\cdots\text{H-His190}/\text{Tyr-O-H}\cdots\text{His190}$  couple from approximately 0.9 V in solution to 1.1–1.2 V in the photosynthetic membrane. The increase can be attributed largely to destabilization of the positive charge in the oxidized form of the couple in the relatively nonpolar membrane environment.

The standard potential for water oxidation at pH 7 [Eq. (21)] is 0.815 V (vs NHE), or 0.884 V when the free



energy of dilution of  $\text{O}_2$  is excluded, according to an analysis by Krishtalik.<sup>[117–119]</sup>

These potentials leave a narrow potential window for water oxidation by  $Y_Z$  and rule out high-energy intermediates such as  $\cdot\text{OH}$ . Estimated reduction potentials for the  $S_1/S_0$  and  $S_2/S_1$  couples are 0.80 and 1.0 V, respectively.<sup>[122,123]</sup> Values for the other couples in the Kok cycle greatly in excess of the 1.1–1.2 V potential for the  $Y_Z/Y_Z$  couple would lead to high barriers for ET or EPT. The barriers in the operating OEC can not be too high, since activation energies for the individual  $S$  state transitions are low (between 0.05 and 0.4 eV for the  $S_2 \rightarrow S_3$  transition).<sup>[124–128]</sup> The OEC turns over on the millisecond timescale.<sup>[2,27,129]</sup>

The need to avoid high-energy intermediates necessitates PCET and EPT in the Kok cycle. Oxidation without proton loss leads to an increase in positive charge. The buildup of charge in adjacent redox couples can lead to a significant increase in redox potentials. This occurs, for example, in the stepwise oxidation of a series of oxido and sulfido metal clusters, for which  $E^\circ$  increases by 0.3–0.4 V at each step.<sup>[32,130]</sup> By comparison, oxidation of  $\text{cis-[Ru}^{\text{II}}(\text{bpy})_2(\text{H}_2\text{O})_2]^{2+}$  to  $\text{cis-[Ru}^{\text{VI}}(\text{bpy})_2(\text{O})_2]^{2+}$  occurs at a single site by four sequential oxidations over a potential range of only 0.6 V. The narrow potential range and redox potential leveling are due to both PCET (coupled  $\text{e}^-/\text{H}^+$  loss leads to no increase in charge) and stabilization of the higher oxidation states by  $\text{Ru=O}$  bond formation.<sup>[131–133]</sup>

## 5. Oxidation States and Structure

Results obtained by EPR and Mn X-ray absorption near edge spectroscopy (XANES) have been interpreted as favoring the oxidation-state distribution  $\text{Mn}^{\text{III}}\text{Mn}^{\text{III}}\text{Mn}^{\text{IV}}\text{Mn}^{\text{IV}}$  for the  $S_1$  state of the OEC.<sup>[134–140]</sup> This conclusion is in agreement with K $\beta$  XES data<sup>[140,141]</sup> and with  $^{55}\text{Mn}$  ENDOR spectra of PSII centers in the  $S_2$  state.<sup>[142]</sup>

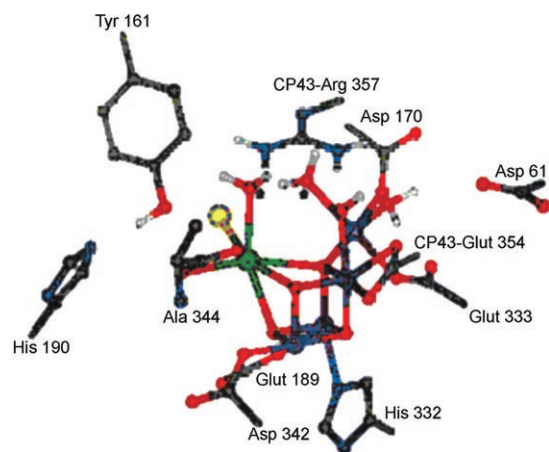
$S_1$  is the stable resting state in the dark.<sup>[21]</sup> The distribution  $\text{Mn}^{\text{II}}\text{Mn}^{\text{III}}\text{Mn}^{\text{IV}}\text{Mn}^{\text{IV}}$  has been suggested for  $S_0$ ,<sup>[9,21,135,136,143,144]</sup> although this formulation has been questioned recently on the basis of low-temperature electron-spin-lattice relaxation measurements.<sup>[145]</sup>

Recent X-ray diffraction (XRD) investigations on PSII at 3- $\text{\AA}$ <sup>[23]</sup> and 3.5- $\text{\AA}$  resolution<sup>[16]</sup> have provided molecular-level structural information about the OEC. Different views are shown in Figures 2, 3, and 6. Radiation damage and possible radiation-induced structural changes, disorder, and reduction of  $\text{Mn}^{\text{III}}$  and  $\text{Mn}^{\text{IV}}$  to  $\text{Mn}^{\text{II}}$  by X-ray generated radicals may complicate the interpretation of XRD results.<sup>[146–147]</sup>

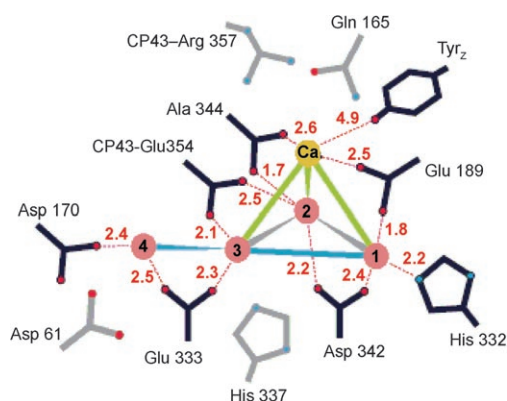
The more-recent structure of the OEC at 3- $\text{\AA}$  resolution is shown in Figure 3.<sup>[23]</sup> Figure 2 is a modified version of one stereoview of the original 3.5- $\text{\AA}$  structure.<sup>[35]</sup> It has been modified to include possible coordination details around Ca and the Mn(3) and Mn(4) cluster sites, which is useful for the mechanistic discussion that follows.<sup>[35]</sup> There is no information in these structures about the water molecules shown coordinated to Mn(4). They are included because our PCET analysis points to these putative sites as critical in EPT and PT steps for oxidative activation of the OEC and water oxidation.

Other important features pertaining to mechanism appear in both structures, including: 1) the disposition of  $\text{Tyr}_Z$ , and its associated histidine base relative to the OEC, 2) the existence of a  $\{\text{CaMn}_3\}$  cluster at the core of the OEC, and 3) a structurally appended Mn(4) center, which lies near Asp 170 and contains Asp 61 in its second coordination sphere.

The structures in Figures 2 and 3 are related, but significant differences do appear in the higher resolution structure, such as the apparent bridging rather than terminal roles for Asp 342, Glu 189, and Glu 333 as ligands and the binding of Ala 344 to Mn(2) rather than Ca, which is consistent with FTIR results.



**Figure 2.** Structure of the PSII OEC from reference [16], modified as in reference [35]. C dark gray, O red, N dark blue, Mn purple, Ca green. Hydrogen atoms are not included in the published structure. Amino acid residues are numbered according to sequences in *Thermosynechococcus elongatus*. The residues shown belong to the D<sub>1</sub> subunit of PSII with E354 belonging to CP43. Those bound to a Mn ion are shown as truncated side chains except for Ala344, which is shown in its entirety. Two water ligands, not found in the structure but proposed as sites for O...O coupling, are each labeled with an asterisk.



**Figure 3.** The {CaMn<sub>4</sub>} cluster of the OEC with distances in Å taken from reference [23]. Ca orange, Mn pink, N blue, O red. The distances between Mn and Ca, as illustrated by the connecting lines, are: 2.7 (gray), 3.3 (blue), and 3.4 Å (green). Amino acids in black are in the first coordination sphere and those beyond are in gray.

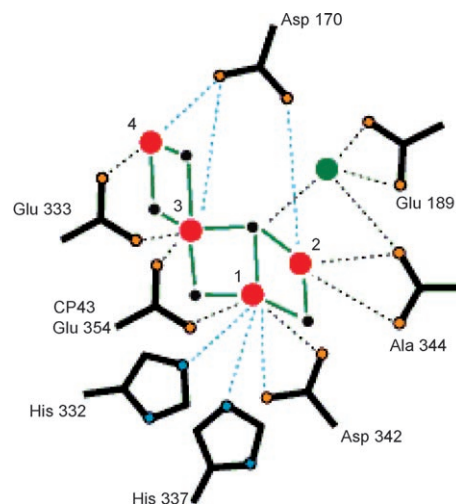
From earlier EXAFS results on S<sub>1</sub>, it was proposed that the OEC is composed of two to three di-μ-oxido Mn units with Mn...Mn separation distances of around 2.7 Å.<sup>[9,13,148–151]</sup> A recent extended range EXAFS study on S<sub>1</sub> revealed three Mn...Mn vectors in the {CaMn<sub>3</sub>} cluster, two of around 2.7 Å and one of approximately 2.8 Å, as well as another one or two Mn...Mn interactions with a separation of 3.3 Å.<sup>[152]</sup>

Even more recently, the structure of S<sub>1</sub> was investigated by EXAFS measurements on single crystals free of radiation damage.<sup>[153]</sup> The EXAFS results were consistent with three different but topologically related structures for the OEC. The most favored structure, on the basis of the XRD data, is shown in Figure 4.

There are considerable differences in orientation and structure between Figure 3 and the favored EXAFS structure

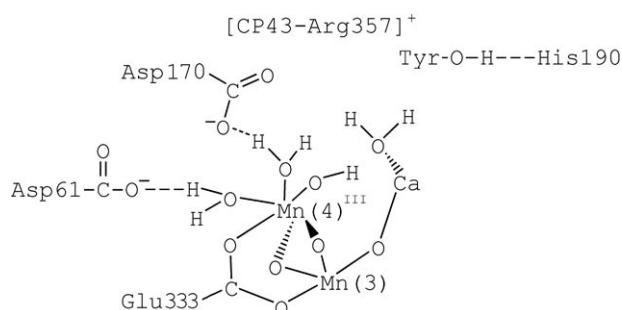
in Figure 4, but the basic cluster and ligand frameworks are retained in both. Notable features are di-μ-oxido bridging between each pair of Mn partners, a single tri-μ-oxido bridge between Ca and Mn(2) and Mn(3), and the long Mn(4)...O distance between Mn(4) and Asp170.

A schematic drawing of the OEC based on the EXAFS structure in Figure 4 is shown in Figure 5. The important elements that will play a role in the following mechanistic discussion include:



**Figure 4.** Structural diagram showing the favored EXAFS structure for the OEC in S<sub>1</sub>. Ca green, Mn red, N blue, O orange. Mn-oxido bonds are shown as solid green lines. Bonds to other possible ligand atoms are shown as dotted lines; black: distances less than 3.0 Å, blue: distances greater than 3.0 Å. Reproduced in modified form from reference [153].

- 1) The coordinative stabilization of the Mn(4) center by triple bridging to the Mn(3) center.
- 2) The presence of three coordinated water molecules at Mn(4) in S<sub>0</sub>, as well as two water molecules and a hydroxide ion in S<sub>1</sub>. In the proposed mechanism all three play a role in the critical proton-transfer and EPT steps that result in oxidative activation and water oxidation. The coordinated water molecules are assumed but not established in the 3.0- or 3.5-Å structures.



**Figure 5.** Possible structural features at the OEC, on the basis of the EXAFS and XRD structures in Figures 3 and 4. The water molecules shown are not observed in either the EXAFS or XRD structures.

- 3) The suggested H-bond interaction between Mn(4)-OH<sub>2</sub> and Asp61.
- 4) The suggestion that Asp170 is H-bonded to a coordinated water molecule rather than coordinated to the Mn(4) center.

## 6. MS-EPT in the Oxidative Activation of the OEC

### 6.1. Oxidation of Y<sub>Z</sub> by P<sub>680</sub><sup>+</sup>

In their initial formulation of coupled electron–proton transfer in PSII, Babcock and co-workers suggested an “H-abstraction” mechanism on the basis of the favorable thermodynamics for EPT [see Eqs. (18)–(20)].<sup>[1,2,4,7,26,117–119,154,155]</sup> This reaction could also be described as 1 e<sup>−</sup>/1 H<sup>+</sup> MS-EPT. Electron transfer occurs from Tyr<sub>Z</sub> to P<sub>680</sub><sup>+</sup> and simultaneous proton transfer from Tyr<sub>Z</sub>-OH to His190, the latter through a preformed H-bond.

The 1 e<sup>−</sup>/1 H<sup>+</sup> MS-EPT pathway shown for Y<sub>Z</sub> oxidation in Equations (18)–(20) is analogous to oxidation of ArOH in Equation (10) except that long-range (ca. 10 Å) electron transfer occurs from Tyr<sub>Z</sub> to P<sub>680</sub><sup>+</sup> between fixed (nondiffusional) sites in the photosynthetic membrane.

### 6.2. Oxidative Activation of the OEC

#### 6.2.1. Introduction to Mechanism

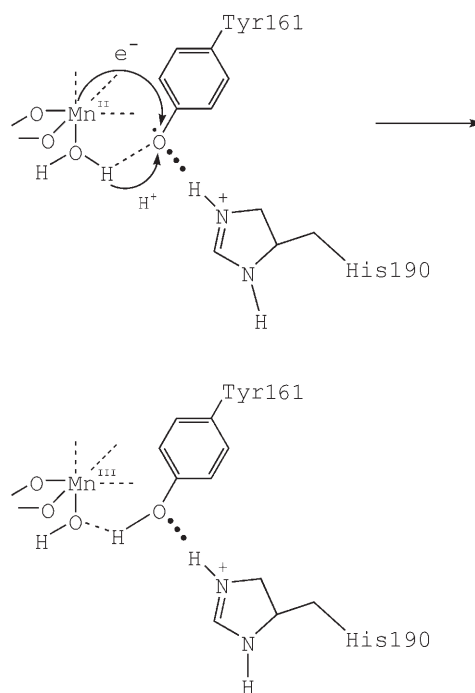
As noted in the Introduction, several mechanistic models for water oxidation in the OEC have appeared over a period of years.<sup>[7,9,21,28,29,32,42–52]</sup> Stimulated by the recently published XRD and EXAFS structures of the OEC, our aim is to develop and apply a model for water oxidation, by building on the work of others, that is consistent with available experimental and theoretical results.

As with any mechanistic analysis of complex systems what is presented is a hypothesis. Although gross structural features of the OEC are available, key microscopic details (coordinated H<sub>2</sub>O molecules, μ-oxido bridges, details of coordination environments) are not. The absence of these details necessarily creates ambiguities and uncertainties, which are highlighted in the discussion that follows. The conclusions reached should thus be taken with a “grain of salt”.

#### 6.2.2. The H-Abstraction Mechanism

In their analysis, Babcock and co-workers proposed that “H-abstraction” was also utilized in the oxidative activation of the OEC by Y<sub>Z</sub>.<sup>[1,2,4,7,26,27,39]</sup> As visualized for the S<sub>0</sub>→S<sub>1</sub> transition in Equation (22), electron transfer was proposed to occur from Mn<sup>II</sup> to Tyr-O<sup>•</sup> accompanied by H<sup>+</sup> transfer from Mn-OH<sub>2</sub> to Tyr-O<sup>•</sup>.

This suggestion appears to be untenable given the structures in Figures 2–4, which reveal that Tyr<sub>Z</sub>-OH is spatially separated from the nearest Mn ion in the {CaMn<sub>4</sub>} cluster by around 6 Å. In view of the short-range nature of proton transfer in EPT, these distances would appear to rule



(22)

out EPT as a viable pathway, and long-range EPT through a series of bridging H<sub>2</sub>O molecules also seems unlikely.<sup>[90,93,98–100]</sup> There is some evidence that proton release may precede oxidation of Mn, perhaps induced by Y<sub>Z</sub> (Tyr<sub>Z</sub>-O-H<sup>•</sup>His190) oxidation/deprotonation;<sup>[156]</sup> however, careful inspection of the OEC structures in Figures 2–4 reveals other viable EPT pathways.

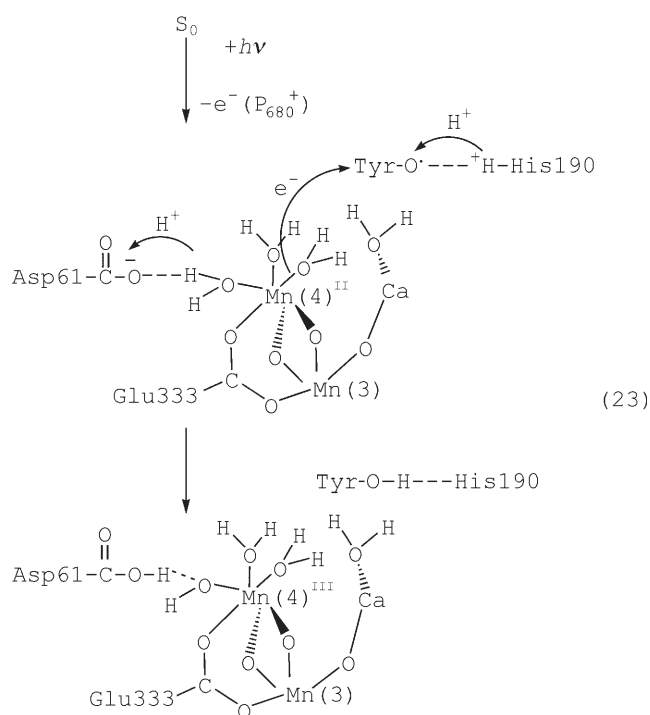
#### 6.2.3. 1 e<sup>−</sup>/2 H<sup>+</sup> and Stepwise MS-EPT

MS-EPT pathways require prior H-bonding<sup>[157–162]</sup> because of the short-range nature of proton transfer. Possible MS-EPT pathways can be discerned in Figure 5 which utilize the putative Mn(4)-OH<sub>2</sub>⋯Asp61 H-bond. Evidence for the importance of Asp61 is available from point-mutation studies on Asp61 in cells and oxygen-evolving core preparations from the wild type of *Synechocystis* sp. PCC 6803. Point mutation at Asp61 decreases the rate of oxygen release by a factor of 9–10. At the same time, the S<sub>1</sub>→S<sub>2</sub> and S<sub>2</sub>→S<sub>3</sub> transitions are slowed by a factor of 2–3, whereas reduction of P<sub>680</sub><sup>+</sup> by Y<sub>Z</sub> is unaffected.<sup>[163]</sup>

**1 e<sup>−</sup>/2 H<sup>+</sup> MS-EPT:** In the proposed MS-EPT pathway shown in Equation (23), the Mn(4)-OH<sub>2</sub>⋯Asp61 H-bond participates in 1 e<sup>−</sup>/2 H<sup>+</sup> MS-EPT. In this pathway, electron transfer occurs from Mn(4) to Tyr<sub>Z</sub> in concert with a double proton transfer. One proton transfers from Mn(4)-OH<sub>2</sub> to Asp61 and, simultaneously, the proton on <sup>+</sup>H-His190 transfers to Tyr-O<sup>•</sup>.

There is no need to invoke a structural basis for interaction between transferring protons in Equation (23). Inherent in Equation (17) is the fact that EPT is a probabilistic consequence of the uncertainty principle in the same way as in electron transfer or proton transfer. EPT is induced by the electron-transfer matrix element and depends on the





extent of vibrational overlap between the initial and final states for both transferring protons.

**Stepwise  $1e^-/1H^+$  MS-EPT:** MS-EPT oxidation of Mn(4) may also occur by stepwise  $1e^-/1H^+$  MS-EPT with initial oxidation occurring at the Mn(3) center [Eq. (24)]. Oxidation at a cluster site or delocalized cluster orbital appears to occur in the  $S_1 \rightarrow S_2$  transition (see Section 6.4).

In Equations (23) and (24), it is assumed that the  $S_0$  oxidation-state distribution is  $Mn^{II}Mn^{III}Mn^{IV}Mn^{IV}$ . This assumption is commonly made<sup>[9,21,135,136,143,144]</sup> but, as noted in Section 5, appears to be inconsistent with the recent low-temperature EPR data of Kulik et al.<sup>[145]</sup> It is possible that the

oxidation-state distribution in  $S_0$  is temperature-dependent, and  $Mn^{II}$  is present at room temperature in the operating OEC.

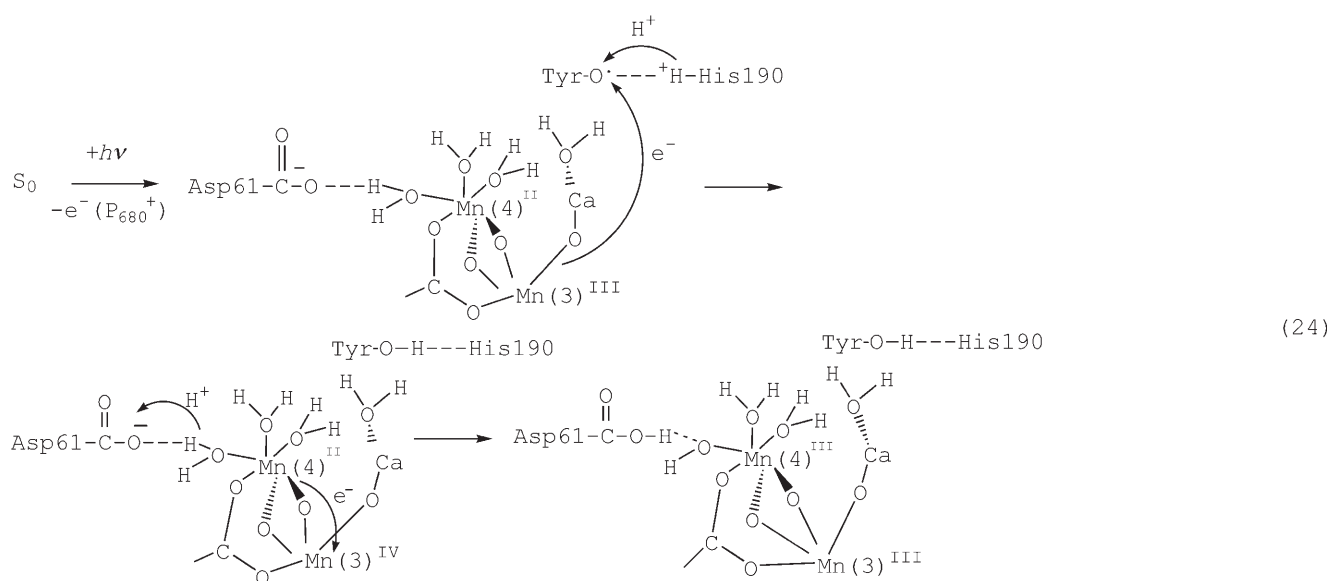
In Equations (23) and (24), two couples undergo EPT:  $Tyr-O^{\bullet} \cdots H-His190/Tyr-O-H \cdots His190$  ( $Y_Z/Y_Z$ ) and  $Mn^{III}-OH \cdots HOOC-Asp61/Mn^{II}-OH_2 \cdots OOC-Asp61$ . These couples act as “EPT modules” functioning together in  $1e^-/2H^+$  MS-EPT to enable the simultaneous transfer of both electrons and protons.

For the  $Tyr_Z$  couple, the proton transferred from  $Tyr-OH$  to  $His190$  in Equation (18) is transferred back in Equation (23) or (24). This “proton-rocking” mechanism was first proposed by Eckert and Renger<sup>[164]</sup> and has been elaborated by several groups since.<sup>[165–168]</sup> One important consequence of proton rocking is that it increases the oxidation potential of  $Tyr-O^{\bullet}$ . The increase of approximately 0.3 V relative to that of the  $Tyr-O^{\bullet}/Tyr-O^-$  couple is required for water oxidation to occur. Proton rocking also resets the  $Tyr-O-H \cdots His190$  interface for additional MS-EPT cycles (see Sections 6.5 and 6.6).

There is an energetic advantage for EPT over ET just as there is in the oxidation of  $Y_Z$  by  $P_{680}^+$ . Theoretical analysis gives a value of  $\Delta G^\circ = +9.2 \text{ kcal mol}^{-1}$  for the electron transfer  $Mn^{II}-OH_2 \cdots Tyr-O^{\bullet} \rightarrow Mn^{III}-OH_2 + \cdots Tyr-O^-$ .<sup>[39,154,155]</sup> The ET reaction is unfavorable because the initial products are formed in high-energy proton environments as can be surmised from the  $pK_a$  values ( $pK_{a,1}([Mn^{III}(H_2O)_6]^{3+}) = 0.2$ <sup>[169]</sup> and  $pK_a(Tyr-OH) = 10$ ).<sup>[116]</sup>

### 6.3. Transitions between S States: $S_0 \rightarrow S_1$

Since  $S_1$  is the stable resting state in the dark for the OEC, the dark-adapted, light-driven Kok cycle actually begins with the transition  $S_1 \rightarrow S_2$ . Oxygen is evolved following photoexcitation of  $S_3$ , and the fourth photoexcitation event converts  $S_0$  into  $S_1$ . For purposes of mechanistic discussion, it is convenient to begin with the  $S_0 \rightarrow S_1$  transition and follow the



sequential buildup of redox equivalents as the cycle progresses.

On the basis of EXAFS measurements, the  $\{\text{CaMn}_4\}$  cluster has essentially the same structure in the  $S_1$  and  $S_2$  states. By contrast, there is an increase in one of the  $\text{Mn}\cdots\text{Mn}$  distances by approximately  $0.15 \text{ \AA}$  in  $S_0$ . This increase is consistent with protonation of a  $\mu$ -oxido bridge or with the presence of one  $\text{Mn}^{\text{II}}$  center in  $S_0$ .<sup>[150,151]</sup>

### 6.3.1. MS-EPT Oxidation of the OEC

The  $1\text{e}^-/2\text{H}^+$  and  $1\text{e}^-/1\text{H}^+$  MS-EPT pathways in Equations (23) and (24) provide low-energy alternatives for oxidative activation of the OEC. The key structural elements are the  $\text{Tyr-O}\cdots\text{H-His190}$  and  $\text{Mn}^{\text{II}}\text{-OH}_2\cdots\text{OOC-Asp61}$  hydrogen bonds, which enable EPT at each site. The MS-EPT pathway takes advantage of the relatively long-range nature of electron transfer<sup>[170,171]</sup> while meeting the short-range requirements of proton transfer.<sup>[58–68,70,90,93,98–100,172,173]</sup>

The active site for water oxidation appears to be  $\text{Mn}(4)$ .<sup>[16,35]</sup> This conclusion is based on its proximity to the proton exit channel at Asp61 and its alignment toward the  $\text{Ca}^{2+}$  ion of the  $\{\text{CaMn}_3\}$  cluster, where  $\text{O}\cdots\text{O}$  coupling appears to occur (see Section 6.5.2).

### 6.3.2. Long-Range $\text{H}^+$ Transfer to the Lumen

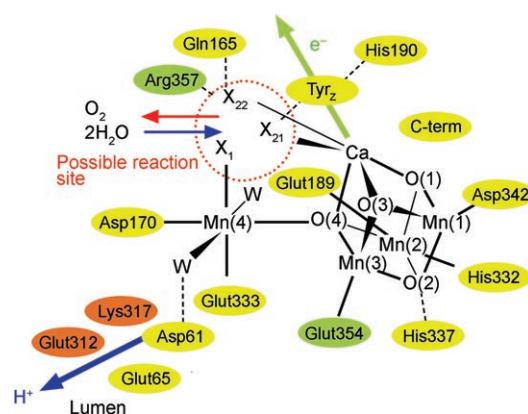
Following the loss of an electron in Equations (23) or (24), the first of four in the Kok cycle, a proton must be transferred to the chloroplast lumen. Proton transfer is required to avoid creating a local proton gradient, and it also resets the MS-EPT interface at Asp61.

Loss of protons to the exterior is facilitated by Asp61 as the EPT acceptor. As shown in Figure 6, Asp61 lies at the entryway to a hydrophilic proton exit channel to the lumen on the exterior of the PSII membrane. It is known that protons released in the Kok cycle appear on the lumen surface in as little as  $12 \mu\text{s}$ .<sup>[163–165]</sup> The  $\text{pK}_a$  value of Asp61 is near the pH value of the lumen, which may be as low as pH 5.<sup>[165]</sup>

It has been proposed that a cluster of titratable residues participates in the proton exit channel beginning with Asp61 and terminating in a series of PsbO residues.<sup>[174–176]</sup> Relevant  $\text{pK}_a$  values and possible exit channels have been analyzed by solving the linearized Poisson–Boltzmann equation with atomic coordinates taken from the crystal structure at  $3.0\text{-\AA}$  resolution and CHARMM to optimize hydrogen-atom positions.<sup>[177]</sup> These calculations predict a monotonic increase in the  $\text{pK}_a$  value along the exit channel and identify an alternate channel from Asp61 to the lumen exit region in PsbO. They also identify a possible water-intake channel through a chain beginning at CP43-Arg357 and terminating at D1His92 on the luminal bulk surface.

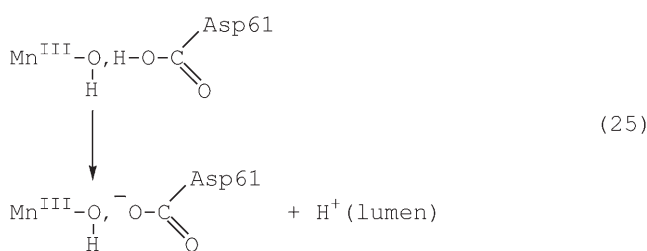
In these calculations, charge was allowed to build up on the  $\{\text{CaMn}_4\}$  cluster rather than allowing for proton loss and redox-potential leveling. This results in greatly enhanced acidities for residues near the cluster, and these residues are suggested to be the origin of the released protons.<sup>[178]</sup>

The proton exit channel provides a mechanism for rapid proton loss to the lumen [Eq. (25)]. This step completes the



**Figure 6.** Schematic view of the OEC illustrating: 1) possible H-bond interactions between  $\text{Tyr}_2$  and His190 and between  $\text{Mn}(4)^{\text{II}}\text{-OH}_2$  ( $\text{W} = \text{H}_2\text{O}$ ) and Asp61, shown as light blue dotted lines; 2) the hydrophilic exit channel for proton transfer from the entryway at Asp61 to the chloroplast lumen (large blue arrow); and 3) possible substrate water binding positions at  $\text{Mn}(4)$  ( $\text{X}_1$ ) and  $\text{Ca}$  ( $\text{X}_{21}$  and  $\text{X}_{22}$ ). Suggested  $\text{O}_2$  exit and  $\text{H}_2\text{O}$  entry channels are shown by the red and blue arrows, respectively, and the direction of electron transfer to  $\text{P}_{680}^+$  is shown by the green arrow. Residues in D1, D2, and CP43 subunits are shown in yellow, orange, and green, respectively. Another possible coordinated water molecule, not identified at  $3.5\text{-\AA}$  resolution, is shown as W. Reproduced from reference [16].

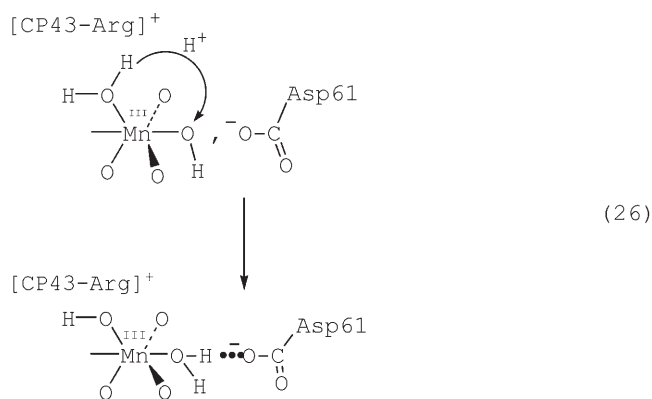
loss of the first of four electrons and four protons required for water oxidation.



### 6.3.3. Intra-Coordination-Sphere $\text{H}^+$ Transfer

Following proton transfer to the lumen,  $\text{Mn}^{\text{III}}\text{-OH}$  remains at the interface with Asp61. A following step, in which intra-coordination-sphere proton transfer occurs [Eq. (26)], would have the advantage of re-forming the  $\text{Mn}(4)\text{-OH}_2\cdots\text{OC(O)-Asp61}$  interface, thus making it available for further  $1\text{e}^-/2\text{H}^+$  MS-EPT cycles. Without this step, MS-EPT would produce inhibitory, high-energy  $\text{Mn}(4)\text{-O}^-$  intermediates.

Intra-coordination-sphere proton transfer has been demonstrated in metal complexes,<sup>[131,132,179]</sup> and there are elements that appear to promote it in the OEC. In Equation (26), the repulsive  $\text{Mn-OH}^-\cdots\text{OC(O)-Asp61}$  interaction is removed, and a stabilizing  $\text{Mn}^{\text{III}}\text{-OH}_2\cdots\text{Asp61}$  H-bond is formed. Transfer of  $\text{OH}^-$  to position  $\text{X}_1$  in Figure 6 also appears to be favored by an electrostatic interaction with a positively charged side chain ( $-\text{N}(\text{H})\text{C}(\text{NH}_2)_2^+$ ) of CP43-Arg357<sup>+</sup>.



#### 6.3.4. The Second Coordination Sphere: $^-OOC\text{-Asp170}$

The experimental pattern for proton release during the Kok cycle is 1:0:1:2<sup>[45,165,180–186]</sup> and not 1:1:1:1, although the latter pattern has been observed in spinach core particles.<sup>[187,188]</sup> The proton-release pattern is also pH-dependent.<sup>[185,189,190]</sup>

As discussed in Section 6.6, the final loss of two protons in a 1:0:1:2 pattern can be explained if it is assumed that aspartate Asp170 (shown coordinated to Mn(4) in Figures 2, 3, and 4, but at a distance of  $> 3 \text{ \AA}$  in Figure 4) is actually in the second coordination sphere in the operating OEC as shown in Figures 4 and 5. Asp170 may act as an internal base toward deprotonation of  $\text{Ca-OH}_2$  prior to  $\text{O}\cdots\text{O}$  coupling (see Section 6.5.2).

Mutagenesis studies show that Asp170 is involved in the formation of the  $\{\text{Mn}_4\}$  cluster by providing a ligand at the high-affinity site that binds the first Mn ion.<sup>[191,192]</sup> It has been suggested on the basis of FTIR measurements on symmetric and asymmetric carboxylate modes of Asp170 that oxidation past  $S_0$  does not involve Mn(4). Comparison of IR band shifts of the  $S$  state of wild-type PSII particles with those of the D1-Asp170His mutant PSII particles revealed no Asp170 IR band shifts upon oxidation of the OEC, although related cluster modes are known to respond to such changes.<sup>[21,124,146,193,194]</sup>

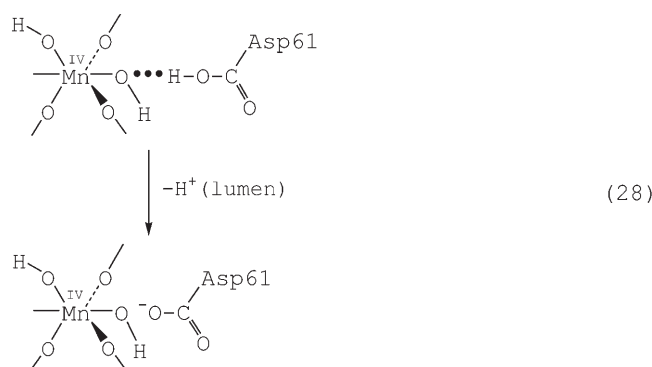
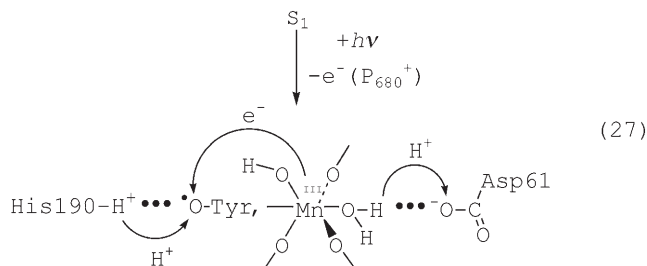
One explanation of the FTIR data, at least up to  $S_3$ , is that Mn(4) is not involved in the redox events at the OEC. Another explanation, consistent with the FTIR data and the structure in Figure 4, is that Asp170 is not coordinated to Mn(4) in the operating OEC.<sup>[194]</sup> With this interpretation, the second coordination sphere near the OEC includes anionic Asp170 ( $^-OOC\text{-Asp170}$ ) rather than coordinated Asp170.

#### 6.4. The $S_1 \rightarrow S_2$ Transition

The estimated increase in reduction potentials between the  $S_1/S_0$  and  $S_2/S_1$  couples is approximately 0.2 V,<sup>[122,123,195]</sup> which is small compared with differences between sequential couples for typical coordination complexes.<sup>[196,197]</sup>  $S_1$  and  $S_2$  are known from EXAFS measurements to have essentially the same structures.<sup>[150,152]</sup> There is general agreement that  $\text{Mn}^{\text{III}}$  oxidation to  $\text{Mn}^{\text{IV}}$  occurs in this transition, and, yet,

there is evidence for  $\text{Mn}^{\text{III}}$  in EPR and NIR spectra from photolysis and spectroscopic measurements at  $-269^\circ\text{C}$ .<sup>[198]</sup>

The sequence in Equations (27) and (28), or stepwise MS-EPT as in Equation (24), could be invoked to explain the

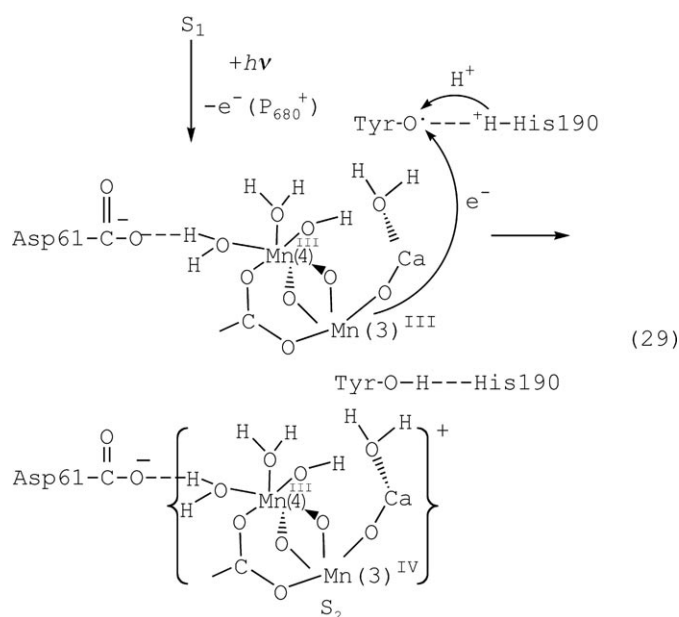


1:1:1:1 proton release pattern found in spinach core particles. However, the pattern in the operating OEC is 1:0:1:2. Also, there is evidence that Mn(4) is not oxidized in the  $S_1 \rightarrow S_2$  transition.<sup>[138,140,199–201]</sup>

On the basis of resonant inelastic X-ray scattering (RIXS) measurements, it has been suggested that the electron lost in the  $S_1 \rightarrow S_2$  transition comes from a delocalized orbital.<sup>[157,202]</sup> Shifts in IR band energies for  $\nu(^-OOC^-)$  carboxylate modes from 1340 to  $1430 \text{ cm}^{-1}$  are observed in both the  $S_1 \rightarrow S_2$  and  $S_2 \rightarrow S_3$  transitions,<sup>[203–207]</sup> but significant shifts in  $\alpha^-OOC\text{-D1Alanine344}$  modes only occur in the  $S_1 \rightarrow S_2$  and not in the  $S_2 \rightarrow S_3$  transition.<sup>[207]</sup> Since D1Ala344 is coordinated to Mn(2) (Figure 3), this result points to a contribution to the redox orbital from Mn(2) and, by inference, from the  $\{\text{CaMn}_3\}$  cluster in the  $S_1 \rightarrow S_2$  transition. As noted above, FTIR data show that Asp170 is not affected.<sup>[21,124,193,194]</sup>

Oxidation to  $S_2$  results in electrochromic band shifts, which have been interpreted as arising from a positive charge that is deeply buried away from bulk solvent in a low dielectric environment.<sup>[208,209]</sup> These electrochromic shifts only occur during the  $S_1 \rightarrow S_2$  transition and are coupled with protein conformational changes.<sup>[21]</sup>

One explanation for these observations is that oxidation of  $S_1$  occurs at the  $\{\text{CaMn}_3\}$  cluster, which creates an uncompensated positive charge and an increase in formal oxidation state of the cluster from  $\text{Mn}_3^{\text{III,IV,IV}}$  to  $\text{Mn}_3^{\text{IV,IV,IV}}$  [Eq. (29)]. In the absence of charge-compensating proton loss or addition of an anion, oxidation in the low-dielectric OEC membrane would create a positive charge, providing an explanation for the electrochromic effect.<sup>[27,210–212]</sup>



### 6.5. The $S_2 \rightarrow S_3 \rightarrow S_3'$ Transition

It has been suggested that O...O coupling occurs following oxidation of  $S_2$  to  $S_3$ .<sup>[15, 19, 21, 32, 35, 129, 213]</sup> K-edge difference spectra from XANES measurements show that structural changes in the  $S_1 \rightarrow S_2$  transition, which involve oxidation of  $Mn^{III}$  to  $Mn^{IV}$ , are significantly different from changes in the  $S_2 \rightarrow S_3$  transition.<sup>[140, 214]</sup> EXAFS data also point to a structural change between the  $S_2$  and  $S_3$  states,<sup>[20, 42, 49, 203, 215–218]</sup> but different results have been reported from different studies. An equilibrium between at least two states in  $S_3$  has been proposed by Renger.<sup>[42, 129]</sup> The energy of activation for the  $S_2 \rightarrow S_3$  transition at 0.4 eV is the highest of the four S-state transitions.<sup>[126–128, 219]</sup>

#### 6.5.1. MS-EPT and PT in the $S_2 \rightarrow S_3$ Transition: The Intermediate State $S_3$

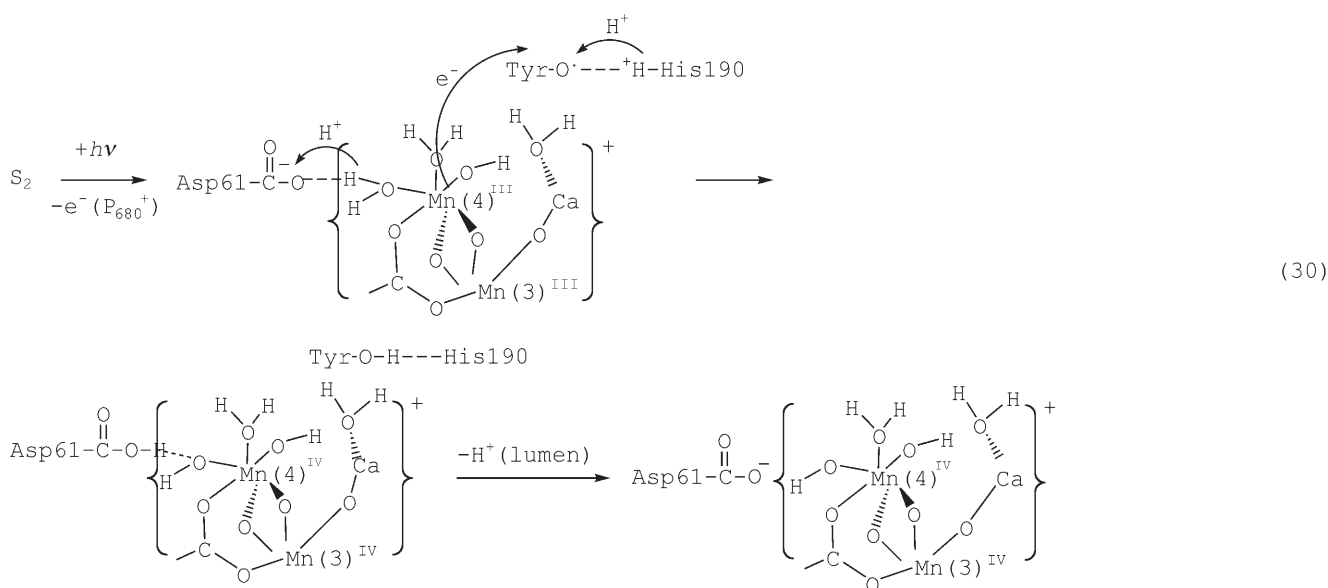
With the formulation of  $S_2$  as in Equation (29), its further light-driven  $1e^-/2H^+$  MS-EPT oxidation, with Asp61 as the proton acceptor, would give  $Mn(4)^{IV}$  in its dihydroxido form  $Mn^{IV}(OH)_2$  [Eq. (30)]. This suggestion is consistent with comparative XANES results on PSII and Mn model compounds, which suggest the absence of higher oxidation state  $Mn=O$  intermediates in all S states from  $S_0$  to  $S_3$ .<sup>[220]</sup> There is evidence for  $Mn^{III}$  at this stage from liquid-helium EPR and NIR measurements, but note the discussion below.<sup>[156, 198, 221, 222]</sup>

There is a debate in the literature as to whether oxidation at this stage is  $Mn$ -<sup>[49, 135, 138, 170]</sup> or ligand-based.<sup>[136, 140, 144, 223]</sup> Oxidation at a ligand, notably at a terminal or bridging oxido to give  $Mn(O^*)$ , is tantamount to saying that there is more ligand than metal character in the redox (HOMO) orbital.

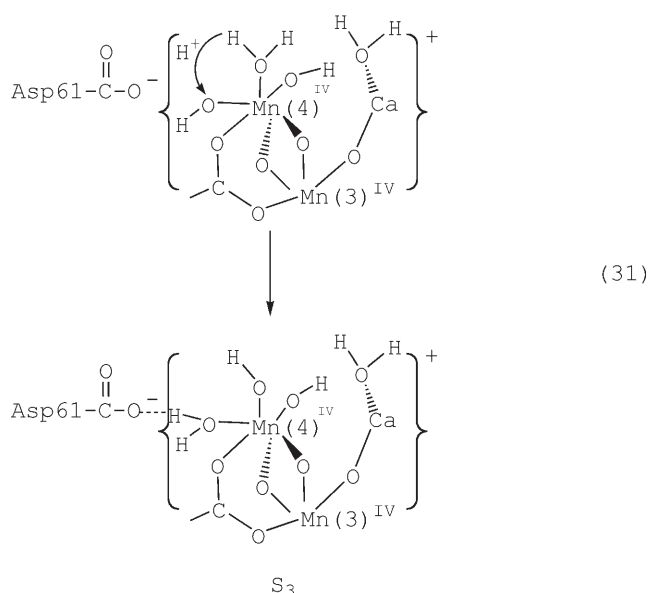
With Mn-based oxidation and proton loss to the lumen as in Equation (30), a subsequent intra-coordination-sphere proton transfer [Eq. (31)] would reset the  $Mn(4)-OH_2 \cdots Asp61$  MS-EPT interface. The local proton transfer channel shown in Equation (31) utilizes the putative third water molecule coordinated to Mn(4) shown in Figures 5 and 6. Intra-coordination-sphere proton transfer results in the intermediate labeled  $S_3$  in Equation (31). It is proposed to be the first of two forms of  $S_3$  proposed by Renger.<sup>[42]</sup>

#### 6.5.2. O...O Coupling: The $S_3 \rightarrow S_3'$ Transition

It is known that Ca depletion inhibits photosynthesis by blocking the  $S_2 \rightarrow S_3$  transition, which implicates its participation in O...O coupling.<sup>[224–226]</sup> The coordination details around Ca in the OEC structures in Figures 2–6 are not established at 3- or 3.5-Å resolution. Nonetheless, as suggested in Figures 2 and 6, it is possible to place a water molecule coordinated to Ca in the position labeled  $X_{21}$  in Figure 6. On the basis of the relative positions of this putative  $Ca-OH_2$  group and the

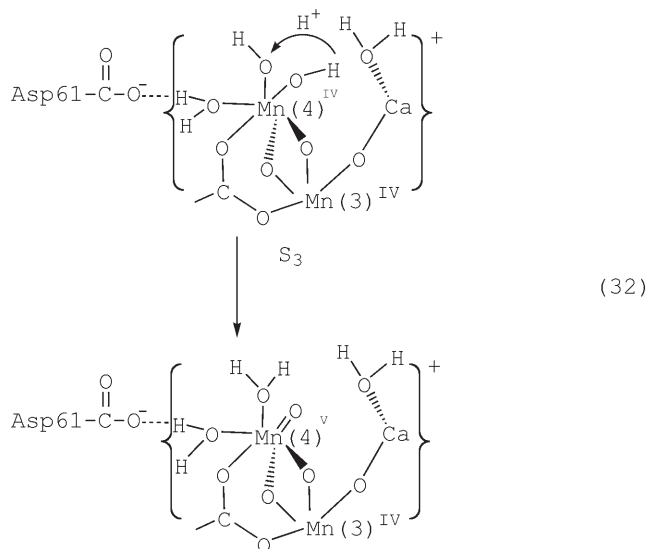






Mn(4)<sup>IV</sup>–OH group at position X<sub>1</sub> in Figure 6, this has been proposed as the site for O···O coupling.<sup>[23,35]</sup>

The oxido form of Mn(4)<sup>IV</sup> (Mn<sup>IV</sup>=O) is expected to be reactive toward O···O coupling.<sup>[25,26,32]</sup> It is available through the dihydroxido–oxido equilibrium, Mn<sup>IV</sup>(OH)<sub>2</sub> ⇌ Mn<sup>IV</sup>(O)–(H<sub>2</sub>O), shown in Equation (32). There is precedence for related equilibria in metal complexes.<sup>[131–133]</sup>



Even with access to Mn<sup>IV</sup>=O, O···O coupling between Mn(4)=O and Ca–OH<sub>2</sub>(X<sub>22</sub>) without prior proton loss from the aqua ligand would give a high-energy, protonated peroxido intermediate (H<sub>2</sub>OO)Mn(H<sub>2</sub>O). A more energetically reasonable proposal is that coupling is preceded by deprotonation to give Ca–OH<sup>–</sup>(X<sub>21</sub>),<sup>[32]</sup> but this would require an internal base. This is a role that may be played by Asp170, which is shown as occupying the second coordination sphere around Mn(4) in Figures 4 and 5. Equation (33) shows how Asp170 can act as an internal

base toward proton loss from Ca–OH<sub>2</sub>(X<sub>21</sub>) by intervention of a local proton-transfer channel.

Other proton-transfer channels connecting Ca–OH<sub>2</sub> and <sup>–</sup>OOC–Asp170 involving second-coordination-sphere water molecules, (not seen in the crystal structures) could be invoked which utilize a bridging H<sub>2</sub>O molecule or molecules.<sup>[173]</sup> However, the use of H<sub>2</sub>O as an acid (e.g., Mn(4)–OH···H–OH → Mn(4)OH<sub>2</sub><sup>+</sup>···OH<sup>–</sup>) or a base (e.g., Ca–OH<sub>2</sub>···OH<sub>2</sub> → Ca–OH<sup>–</sup>···<sup>+</sup>HOH<sub>2</sub>) in a proton-transfer sequence is energetically unfavorable in view of the pK<sub>a</sub> values pK<sub>a</sub>(H<sub>2</sub>O) = 15.7 and pK<sub>a</sub>(H<sub>3</sub>O<sup>+</sup>) = –1.74.<sup>[157,202,203]</sup>

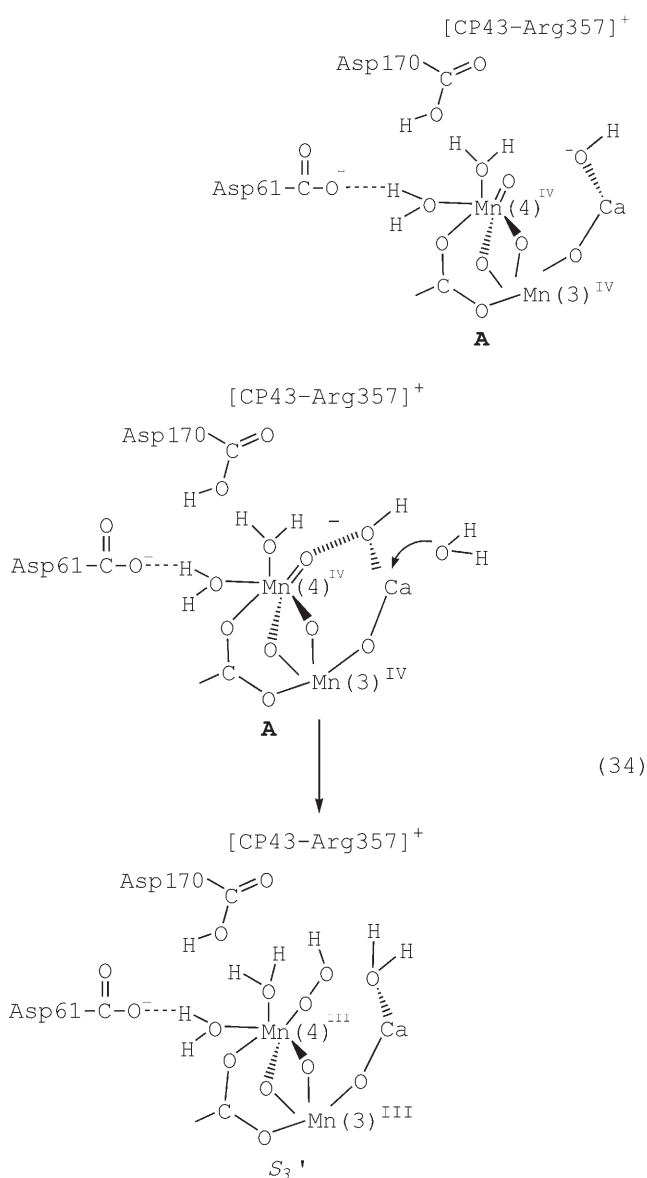
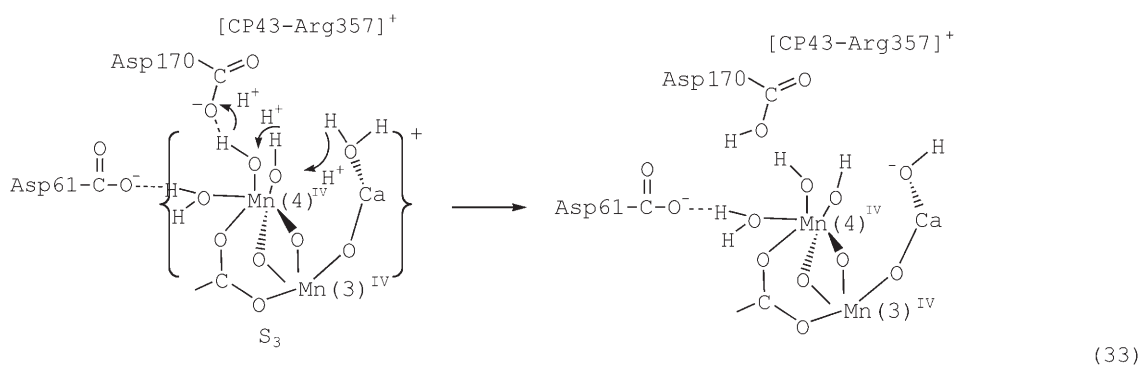
Proton loss from Ca–OH<sub>2</sub> and Mn<sup>IV</sup>(O)(H<sub>2</sub>O) formation give intermediate **A**. It is proposed as the immediate precursor to O···O coupling. According to XANES and FTIR data, it is energetically unfavorable and does not build up as a detectable intermediate. Both the Mn<sup>IV</sup>(OH)<sub>2</sub> ⇌ Mn<sup>IV</sup>(O)(H<sub>2</sub>O) equilibrium and proton transfer in Equation (33) may be unfavorable. The latter is suggested by relative pK<sub>a</sub> values for Ca<sup>2+</sup>(aq) (9.7) and HOOC–Asp170 (4–5). The two preequilibria may contribute significantly to the high energy of activation (0.4 eV) for the S<sub>2</sub> → S<sub>3</sub> transition.

The –N(H)C(NH<sub>2</sub>)<sub>2</sub><sup>+</sup> guanidinium side chain of CP43–Arg357<sup>+</sup> spans the active face of the {CaMn<sub>3</sub>} cluster and brackets the Ca–OH<sub>2</sub>(X<sub>21</sub>) coordination position. Mutagenesis studies show that CP43–Arg357<sup>+</sup> is required for O<sub>2</sub> evolution.<sup>[227]</sup> Its role may be through its electrostatic influence in decreasing the pK<sub>a</sub> value for Ca–OH<sub>2</sub>, which would decrease the difference in ΔG between intermediate **A** and S<sub>3</sub>.

The proposed O···O coupling step in intermediate **A** is shown in Equation (34). In this reaction, Ca–OH<sup>–</sup> redox nucleophilic attack on Mn=O occurs. Two electrons are transferred, either sequentially or in concert, one to Mn(4)<sup>IV</sup> and the other to the Mn<sub>3</sub><sup>IV,IV,IV</sup> cluster. The putative product of O···O coupling in Equation (34) is a Mn<sup>III</sup>–hydroperoxide intermediate Mn<sup>III</sup>–OOH. It is designated as S<sub>3</sub>', the second of two proposed S<sub>3</sub> forms suggested by Renger.

Theoretical calculations have been carried out by Siegbahn and Crabtree on the O···O coupling step by assuming that Mn<sup>V</sup>=O is the active intermediate.<sup>[28]</sup> Their calculations used density functional theory and the B3LYP functional for geometry optimization. The results of this calculation point to the importance of oxyl radical character (Mn<sup>IV</sup>(O·)) in inducing O···O coupling.<sup>[25]</sup> They also suggest that Mn<sup>III</sup>–OOH is in thermodynamic equilibrium with an activated Mn<sup>V</sup>=O precursor. Similarly, Renger has proposed a rapid redox equilibrium between Mn-bound peroxide and two terminal hydroxido ligands.<sup>[42]</sup>

The proposal of two intermediates in S<sub>3</sub>, defined here as S<sub>3</sub> and S<sub>3</sub>', could provide an explanation for the conflicting EXAFS results if measurements were made on two different forms. In the interpretation of one EXAFS data set, the distances of 2.7 Å in the S<sub>3</sub> state lengthen to 2.8 and 3.0 Å, which is consistent with a reduced cluster and S<sub>3</sub>'.<sup>[217]</sup> The interpretation of the second data set was consistent with Mn-centered oxidation of Mn<sup>III</sup> to Mn<sup>IV</sup> with associated structural changes. This observation would be consistent with S<sub>3</sub> before O···O coupling occurs.<sup>[214]</sup>



The results of water-exchange studies point to O...O coupling between different sites, one of which undergoes rapid exchange with external solvent and the other undergoes slow exchange.<sup>[21,48,228–231]</sup> The rapidly exchanging site is presumably Ca–OH<sub>2</sub>, and exchange either occurs in S<sub>3</sub> before O...O coupling or occurs after coupling if there is a rapid equilibrium between S<sub>3</sub> and S<sub>3</sub>'.

The site for slow exchange is presumably Mn(4). A likely mechanism for solvent exchange at Mn would involve the Mn<sup>IV</sup>(OH)<sub>2</sub> ⇌ Mn<sup>IV</sup>(O)(H<sub>2</sub>O) equilibrium in Equation (32) with net exchange occurring by the sequence: 1) HO–Mn–O\*H → O=Mn–O\*H<sub>2</sub> (oxido–dihydroxido equilibration), 2) O=Mn–O\*H<sub>2</sub> + H<sub>2</sub>O → O=Mn–OH<sub>2</sub> + H<sub>2</sub>O\* (water exchange), 3) O=Mn–OH<sub>2</sub> → HO–Mn–OH.<sup>[131,132,179]</sup>

Cl<sup>−</sup> was not located in the 3.5-Å-resolution structure, but it is a cofactor for water oxidation, even though chloride-depleted samples can be reconstituted to catalytically active forms by addition of a variety of anions.<sup>[232–234]</sup> The results of a recent combined EPR–FTIR study are consistent with N<sub>3</sub><sup>−</sup> binding in the immediate vicinity of the Mn cluster and show that Cl<sup>−</sup> binding is competitive with N<sub>3</sub><sup>−</sup> binding at this site.<sup>[235]</sup>

McEvoy and Brudvig<sup>[35]</sup> suggested that activation by Cl<sup>−</sup> binding to Mn(4) could occur by coordination expansion or Cl<sup>−</sup> bridging between Ca and Mn(4). In either case, a coordinated anion could play an indirect role through its electronic influence on pK<sub>a</sub> values and intermolecular proton transfer. For example, anion transfer from Ca to Mn(4) would enhance Ca–OH<sub>2</sub> acidity and favor proton transfer from Ca–OH<sub>2</sub> to <sup>−</sup>OOC–Asp170 in Equation (33).

The role of spin exchange in electron transfer and O...O coupling remains to be evaluated. The EPR spectrum of S<sub>3</sub> was first reported by Matsukawa, et al., who also reported a phenomenological simulation.<sup>[236]</sup>

## 6.6. S<sub>3</sub> → S<sub>4</sub> → S<sub>0</sub> + O<sub>2</sub>: O<sub>2</sub> Evolution

States S<sub>0</sub>, S<sub>1</sub>, S<sub>2</sub>, and S<sub>3</sub> have all been trapped and investigated spectroscopically. Photoexcitation of S<sub>3</sub> results in O<sub>2</sub> evolution and simultaneous appearance of S<sub>0</sub>. The

reduction of  $Y_Z^{\bullet}$  is rate-limiting. Evidence for a transient  $S_4$  state from transient EPR measurements has been reported.<sup>[237]</sup> Recently, inhibition of  $O_2$  evolution from cyanobacteria was observed at high  $O_2$  pressures with associated UV spectral changes that provided additional evidence for an intermediate past the  $S_3$  state.<sup>[51,238]</sup> Even more recently, time-resolved X-ray absorption spectroscopy (XAS) measurements have provided direct evidence for an intermediate following photoexcitation of  $S_3$ .<sup>[215]</sup> Following laser flash photolysis, oxidation of Mn by electron transfer to  $Y_Z^{\bullet}$  occurs on a timescale of 1.1 ms, which is somewhat dependent on the sample type.<sup>[163]</sup> In the transient XAS experiment, reduction at Mn is preceded by a lag phase of 250  $\mu$ s. This transient event is entropically driven, does not involve  $Mn=O$ , and does not affect the oxidation states of either Mn or  $Y_Z^{\bullet}$ .

It has been concluded that the lag phase is most likely due to a proton-transfer step.<sup>[215]</sup> A proposal that a proton transfer preceded electron transfer was originally made by Rappaport et al.<sup>[209]</sup> The kinetics of  $Y_Z^{\bullet}$  reduction by the OEC and of  $O_2$  release, following excitation of  $S_3$ , are known to be very similar, and both occur on the millisecond timescale.<sup>[237]</sup>

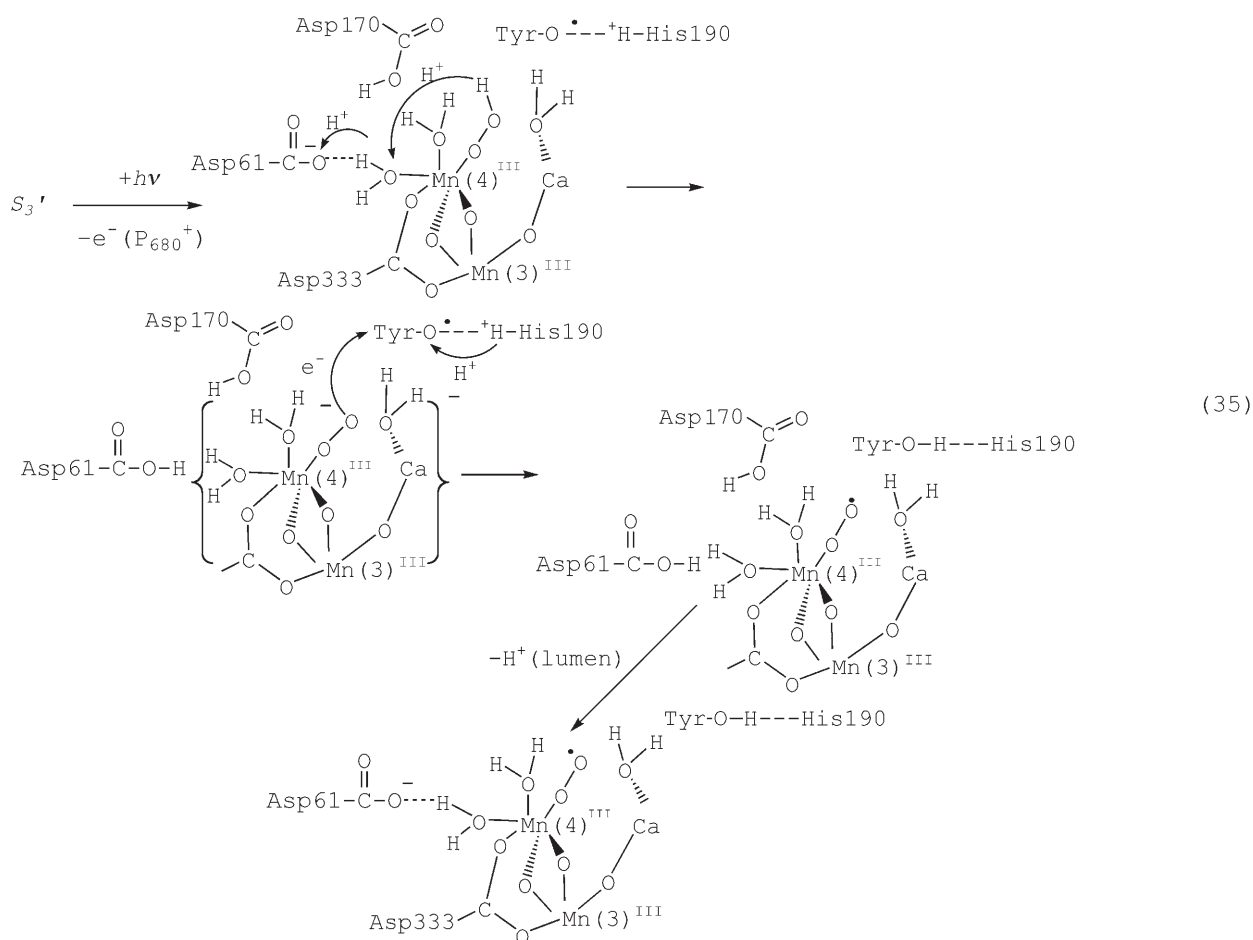
In view of the absence of detailed experimental information, any mechanistic interpretation of the  $S_3 \rightarrow [S_4] \rightarrow S_0 + O_2$  transition is necessarily speculative. Nonetheless, it is possible to account for a lag phase and, ultimately, for  $O_2$  evolution on

the basis of a series of reactions that utilize EPT and stepwise PT. The mechanism below is only suggestive but it does present a reasonable account of the final steps that may occur leading to  $O_2$  evolution.

The initial step in this mechanism is the light-driven,  $1e^-/1H^+$  MS-EPT oxidation of  $Y_Z$ , as shown in Equation (35). Following this initial redox step, internal proton transfer is shown from bound peroxide to Asp61. This step is proposed to be the origin of the 250- $\mu$ s lag phase in the XAS measurements.

Loss of the peroxidic proton would activate coordinated peroxide toward electron transfer to  $Y_Z^{\bullet}$ . This reaction is also shown in Equation (35), but it may occur stepwise with initial oxidation at Mn(4) ( $Mn^{III}(OO^{2-}), Y_Z^{\bullet} \rightarrow Mn^{IV}(OO^{2-}), Y_Z$ ), followed by intramolecular electron transfer ( $Mn^{IV}(OO^{2-}), Y_Z \rightarrow Mn^{III}(OO^{\bullet-}), Y_Z$ ). From the experimental results, all steps subsequent to electron transfer are rapid on a timescale of around 1 ms.

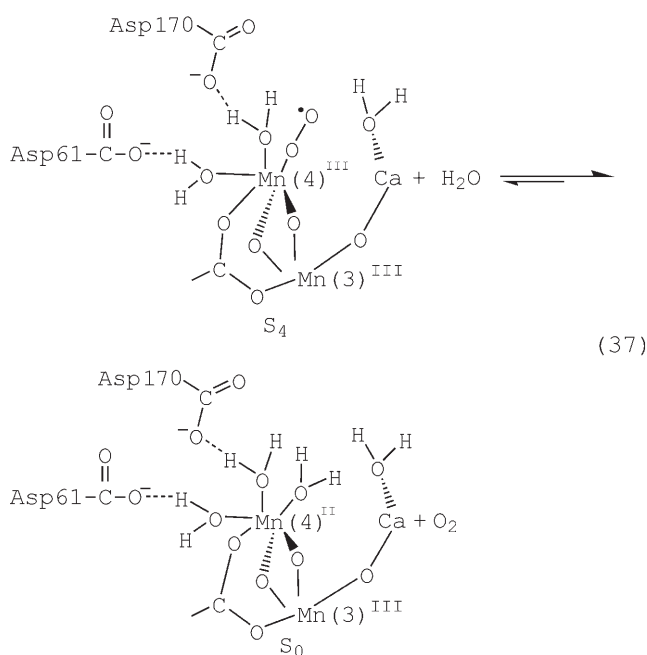
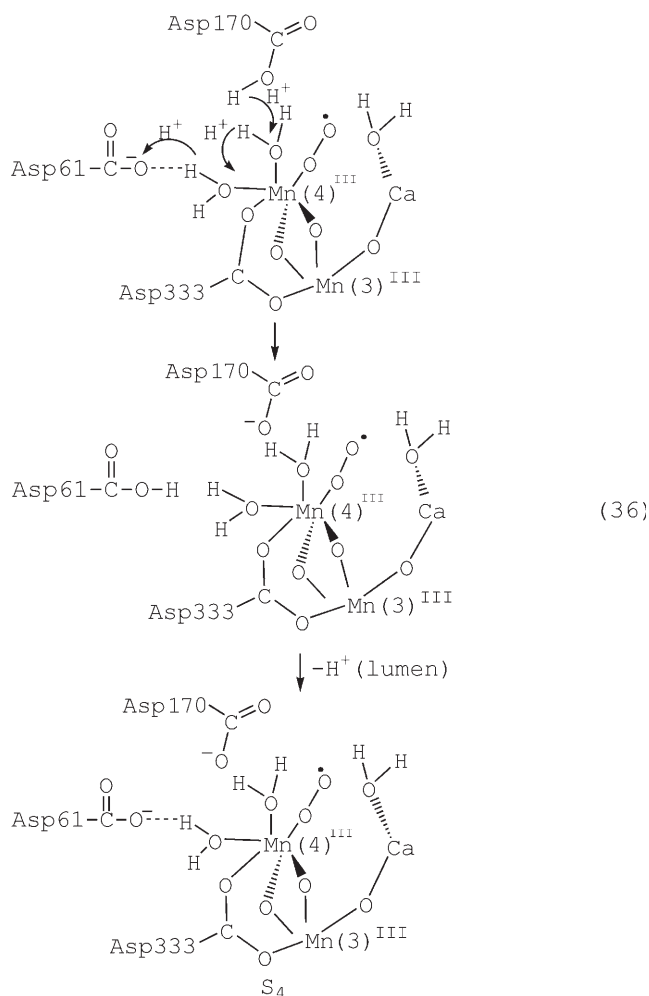
In the final step in Equation (35), a proton is lost from Asp61 to the lumen. This would open up a stepwise, long-range proton-transfer channel from  $HOOC$ -Asp170 to Asp61 [Eq. (36)]. Subsequent loss of this second proton to the lumen would provide an explanation for the 1:0:1:2 proton-release pattern and loss of two protons in the  $S_3 \rightarrow [S_4] \rightarrow S_0 + O_2$  transition.



Also, as shown in Equation (36), subsequent intra-coordination-sphere proton transfer would reset Mn(4)–OH<sub>2</sub> at the Asp61 interface. The final product of the series of reactions proposed in Equations (35) and (36) is a superoxide complex of Mn<sup>III</sup>, Mn<sup>III</sup>(OO<sup>•</sup>). It is tentatively identified as S<sub>4</sub> and is presumably the intermediate that was observed at high added partial pressures of O<sub>2</sub> by Clausen and Junge, which they formulated as an intermediate peroxide.<sup>[238]</sup>

The net reaction in the sequence in Equations (35) and (36) is electron transfer from coordinated <sup>−</sup>OOH to Y<sub>Z</sub><sup>•</sup> coupled with proton transfer to the lumen (Y<sub>Z</sub><sup>•</sup>, Mn<sup>III</sup>–OOH → Y<sub>Z</sub>, Mn<sup>III</sup>–O<sub>2</sub><sup>•</sup> + H<sup>+</sup>(lumen)). There is no change in oxidation state at Mn and the rate-limiting step is intra-coordination-sphere proton transfer.

In the final step of our proposed catalytic cycle, intra-molecular electron transfer occurs from O<sub>2</sub><sup>•−</sup> to the Mn(4)<sup>III</sup>. This is followed by loss of O<sub>2</sub> and coordination of H<sub>2</sub>O to return the catalytic system to S<sub>0</sub>. This reaction is shown as reversible in Equation (37), which is consistent with the high-pressure results of Clausen and Junge.<sup>[238]</sup>



## 7. Reaction Summary

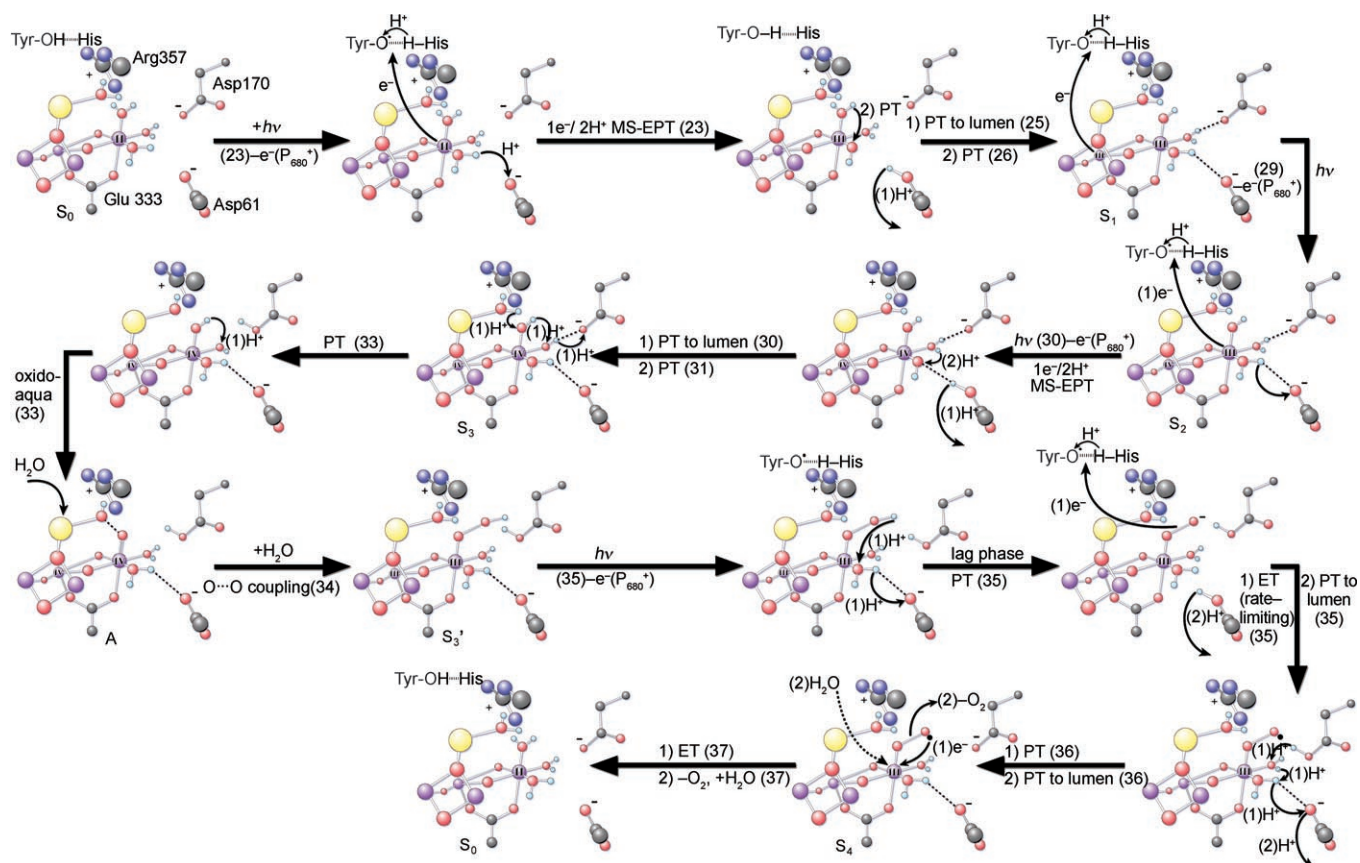
The proposed reactions and intermediates as well as the identities of the S states in the Kok cycle are all summarized in Scheme 1. The perspectives, orientation, and positions of key groups are based on the EXAFS structure in Figure 4. The summary is based on analyses of individual S-state transitions in the text. In some cases, there are ambiguities in detail and the discussion in the individual sections should be consulted. The summary is based on the following assumptions:

- 1) Asp170 is uncoordinated in all S states.
- 2) Oxidation in the S<sub>1</sub>→S<sub>2</sub> transition occurs at the {CaMn<sub>3</sub>} cluster, which increases its formal oxidation state from Mn<sub>3</sub><sup>III,IV,IV</sup> to Mn<sub>3</sub><sup>IV,IV,IV</sup> and creates a local positive charge.
- 3) Oxidation in the S<sub>2</sub>→S<sub>3</sub> transition occurs at Mn(3) to give Mn<sup>IV</sup>(OH)<sub>2</sub>, the first of two intermediates in S<sub>3</sub>. The first intermediate, S<sub>3</sub>, precedes O···O coupling; the second, S<sub>3</sub><sup>'</sup>, is the putative coupling product Mn(4)<sup>III</sup>–OOH.
- 4) <sup>−</sup>OOC–Asp170 acts as an internal base for deprotonation prior to electron transfer in the S<sub>2</sub>→S<sub>3</sub> transition. Release of the Asp170 proton in the transition S<sub>3</sub>→[S<sub>4</sub>]→S<sub>0</sub> + O<sub>2</sub> provides a second proton, explaining the 1:0:1:2 proton-release pattern.
- 5) In the transition S<sub>3</sub>→[S<sub>4</sub>]→S<sub>0</sub> + O<sub>2</sub>, rate-limiting deprotonation of Mn(4)<sup>III</sup>–OOH is followed by oxidation by Y<sub>Z</sub><sup>•</sup> to give S<sub>4</sub>. S<sub>4</sub> is assumed to be Mn<sup>III</sup>–OO<sup>•</sup>, an Mn<sup>III</sup> superoxide complex that forms reversibly from S<sub>0</sub> at high partial pressures of O<sub>2</sub>.

## 8. Summary and Outlook

Notable features in this analysis of proton-coupled electron transfer (PCET) in photosystem II (PSII) are the





**Scheme 1.** Summary of the reactions. The Ca center in the  $\{\text{CaMn}_3\}$  cluster is yellow, Mn purple, O red, N blue, C blue. As a guide, the numbers in parentheses indicate the corresponding equations in the text. The relevant oxidation states of Manganese are given in Roman numerals.

critical roles played by proton transfer (PT) and coupled electron–proton transfer (EPT). These appear to be essential elements in water oxidation by the oxygen-evolving complex (OEC). The structural focus on proton transfer is a direct consequence of its short-range nature relative to electron transfer. In the PSII structure, electron transfer appears to be a relative afterthought; the demands of short-range proton transfer dominate, which leads to the concept of “proton wiring”. Local proton-transfer channels, consisting of sequences of short-range, stepwise proton transfers, are a critical element in the OEC. They allow released protons to be delivered over long distances to a proton exit channel. They also allow the activation of key functional groups toward  $\text{O}\cdots\text{O}$  coupling and electron transfer.

A key element in achieving local proton equilibration is intra-coordination-sphere proton transfer between  $\text{H}_2\text{O}$  and  $\text{OH}^-$  ligands at the Mn(4) center, the non- $\{\text{CaMn}_3\}$  cluster site in the OEC where  $\text{O}\cdots\text{O}$  coupling appears to occur. These transfers orient an oxido group at the Mn(4) center for  $\text{O}\cdots\text{O}$  coupling. They also maintain  $\text{Mn(4)}-\text{OH}_2$  at an interface with Asp61, the entryway to the proton exit channel to the lumen. A second key element is Asp170. Although apparently not coordinated, it may act as an internal base for deprotonation of  $\text{Ca}-\text{OH}_2$  prior to  $\text{O}\cdots\text{O}$  coupling and intramolecular electron transfer.

EPT provides a pathway for light-driven oxidation of the OEC at each stage of the Kok cycle. The key is the use of a series of multiple site-EPT (MS-EPT) pathways in which electron transfer is coupled to proton transfer. These pathways exploit the long-range nature of electron transfer while meeting the structural needs of short-range proton transfer. EPT pathways lower reaction barriers by avoiding high-energy intermediates that arise from initial electron transfer or proton transfer.

The mechanism of water oxidation at the OEC is complicated, which is a natural consequence of its multi-electron, multiproton character. In its use of EPT and local and long-range proton transfer, it shares features with other biological PCET reactions.<sup>[68,239,240]</sup> The pathways exploited by the OEC are general and used in other biological PCET reactions as well.

The mechanistic details proposed herein are largely consistent with available experimental data. As in any chemical mechanism, the explicit details remain open to further experimental and theoretical elucidation. Hopefully, our efforts will provide a useful framework for probing even more deeply into water oxidation in the OEC.

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